

Ancillary Services Restatement and Substitutions Participant Manual

Date: March 24, 2022

Prepared by: Market Support

Prepared for: Market Participants

Classification: Public

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1 System Requirements and Digital Certificates

The supported platform for the Energy Trading System is Microsoft Edge.

Certain communication with the AESO is handled through the internet, including the process to submit bids and offers, register or accept net settlement instructions, and retrieve statements. To ensure that the internet-based communication between pool participants and the AESO is secure, pool participants cannot receive access to the Energy Trading System without purchasing a digital certificate.

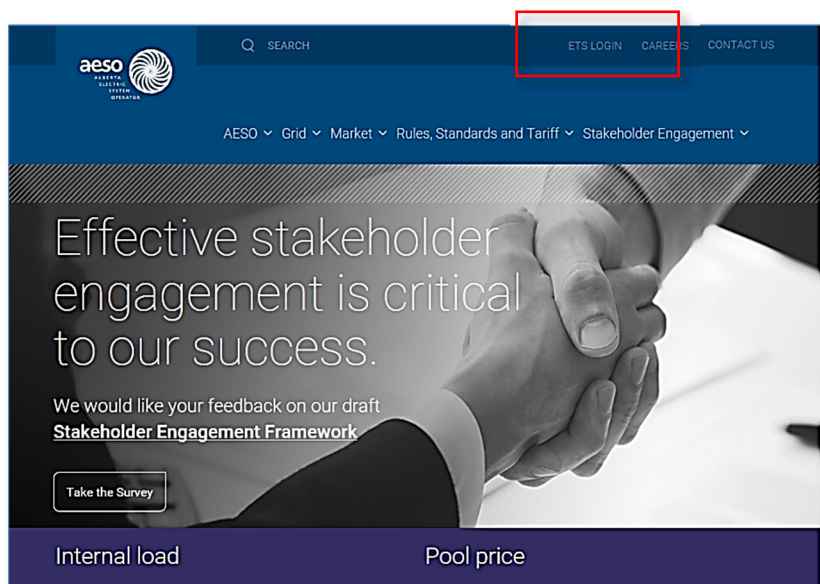
2 Ancillary Services Restatements

The AESO uses a range of ancillary services provided by the generation (producers of energy) and load (consumers of energy) of the electricity system. Each type of ancillary service performs a unique function that supports the safe and reliable operation of the Alberta grid, as well as the neighboring jurisdictions to which the Alberta system is connected.

For the purposes of the Energy Trading System (ETS), restatements for ancillary services are performed for Operating Reserve products, including supplemental reserve (SUP), spinning reserve (SR) and regulating reserve (RR), as well as for Transmission Must Run (TMR).

2.1 Navigate to the Submission Screen

- (a) Through the [AESO website](#), log on to the system by clicking on the [ETS Login] icon on the top right corner of the screen.



- (b) Select the desired digital certificate and click on the [OK] button.
- (c) Select the ETS menu.

Note: The left side of the screen populates with links to which the pool participant has access.

Welcome Training1 TRAINING ABCE Training1 of ABC Electric Inc. (0)

ETS Reports Version: 10.8.0.47

NSI
File Upload
Submission

AIES Event Log

[Click here for information about Energy Emergency Alerts](#)

Date/Time	Comments
10/24/2019 09:30	The AESO is doing maintenance today at 10:10 for approx 20 min. ADAMS unavailable for the duration. System Controllers will dispatch via phone. ETS access may be lost for 2-3 min. ETS submissions, restatements, updates to reports and DYN may be impacted.
10/23/2019 17:30	Mackay River MKRC online.

Estimated Cost of Constraint Report

Date (HE)	Estimated Cost (\$)	Estimated TCR Charge (\$/MWh)	Location of Constraints
10/24/2019 12	-	-	-
10/24/2019 11	0.00	0.00	-
10/24/2019 10	0.00	0.00	-
10/24/2019 09	0.00	0.00	-

Supply Surplus Report

Date (HE)	Status
10/24/2019 13	2
10/24/2019 14	2
10/24/2019 15	2
10/24/2019 16	2
10/24/2019 17	2
10/24/2019 18	2

System Marginal Price

Projected Pool Price for Hour Ending 12 is \$34.84 as of 11:03.

Date (HE)	Time	Price (\$)	Volume (MW)
10/24/2019 12	11:00	34.84	243
10/24/2019 11	10:00	34.87	243
10/24/2019 10	09:14	32.88	89
10/24/2019 10	09:00	32.77	32
10/24/2019 09	08:31	32.77	32
10/24/2019 09	08:08	32.18	184
10/24/2019 09	08:00	30.21	40

DDS Market Report

Date/Time	Available DDS (MW)
10/24/2019 10:43	80
10/24/2019 07:13	80
10/24/2019 07:10	59
10/24/2019 07:00	38

Pool Price

Date (HE)	Price (\$)	30Ravg (\$)	All Demand (MW)
10/24/2019 12	-	-	-
10/24/2019 11	-	-	-
10/24/2019 10	32.85	38.75	10163.0
10/24/2019 09	32.20	38.75	10135.0

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2.2 Create an Ancillary Service Restatement

- Under the ETS menu, select [Submission] tab.
Note: The screen opens to the [Submission Information] tab by default.
- Click on the [Ancillary Service] tab.

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Constraints | Outage Scheduling | Offer Control Maintenance

Start

1. Select Submission Action:
Restatement

2. Select Dispatch Date:
10/21/2021

3. Choose Asset Type:
☐ Sink ☒ Source

Next

- From the [Select Submission Action] list box, choose Restatement.
- From the [Select Dispatch Date] list box, choose the date.
Note: Prior to 6pm Mountain Time each day, only the current day is available to be restated. After 6pm, both the current day and the next day will be available for restatement.
- From the [Choose Asset Type], click on the [Sink] or [Source] radio button. Click [Next].
- From the [Select Asset] list box, choose the desired pool asset.

From the [Data Entry] tab, you will see the maximum approved capacity values for each type of ancillary service available to the system controller in each hour. **Please note these values are not the actual hourly contracted values for the asset.**

Submission Information
Energy Submission
Ancillary Service
DDS Submission
Constraints
Outage Scheduling
Offer Control Maintenance

Start
Result
Data Entry

Enter Ancillary Service Data for ABC Electric Ltd., to restate AB1 Training(AB1) Source for Oct 23,2021

Note: Values listed show the maximum approved capacity values for each type of ancillary service available to the System Controller in each hour. These are not the actual contracted values for each hour.

5. Enter Data

Submission Helper
Column: RR
Begin (hour ending): 14
End (hour ending): 24
Value(MW):

Hour End	RR		SR		SUP		TMR	
	Max MW	Current MW	Max MW	Current MW	Max MW	Current MW	Max MW	Current MW
01	80	80	0	0	0	0	300	300
02	80	80	0	0	0	0	300	300
03	80	80	0	0	0	0	300	300
04	80	80	0	0	0	0	300	300
05	80	80	0	0	0	0	300	300
06	80	80	0	0	0	0	300	300
07	80	80	0	0	0	0	300	300
08	80	80	0	0	0	0	300	300
09	80	80	0	0	0	0	300	300
10	80	80	0	0	0	0	300	300
11	80	80	0	0	0	0	300	300
12	80	80	0	0	0	0	300	300
13	80	80	0	0	0	0	300	300
14	80	80	0	0	0	0	300	300
15	80	80	0	0	0	0	300	300
16	80	80	0	0	0	0	300	300
17	80	80	0	0	0	0	300	300
18	80	80	0	0	0	0	300	300
19	80	80	0	0	0	0	300	300
20	80	80	0	0	0	0	300	300
21	80	80	0	0	0	0	300	300
22	80	80	0	0	0	0	300	300
23	80	80	0	0	0	0	300	300
24	80	80	0	0	0	0	300	300

Note: The [Data Entry] tab screen displays the data filler to the left and the restatement grid with each product that is available for restatement. Pool participants may optionally use the filler to enter its restatement.

- (g) To perform a restatement, from the [Column] list box in the [Submission Helper] section, select the appropriate product.
- (h) From [Begin and End (hour ending)] list boxes in the [Submission Helper] section, choose the desired begin and end time.

Note: The [Begin] HE will automatically populate to the current hour. The [End] HE will automatically populate to HE 24.

- (i) Enter the MW value under [Value(MW)]. Select [Fill].

Note: To add data individually to each cell, click on the cell and add the data. Remember to exit that cell before exiting the screen, or the data from that cell will not be added.

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Constraints | Outage Scheduling | Offer Control Maintenance

Start | Result | **Data Entry**

Enter Ancillary Service Data for ABC Electric Ltd., to restate AB1 Training(AB1) Source for Oct 23,2021

Note: Values listed show the maximum approved capacity values for each type of ancillary service available to the System Controller in each hour. These are not the actual contracted values for each hour.

5. Enter Data

Submission Helper

Column: RR ▼

Begin (hour ending): 14 ▼

End (hour ending): 24 ▼

Value(MW):

Fill

Hour End	RR		SR		SUP		TMR	
	Max MW	Current MW	Max MW	Current MW	Max MW	Current MW	Max MW	Current MW
01	80	80	0	0	0	0	300	300
02	80	80	0	0	0	0	300	300
03	80	80	0	0	0	0	300	300
04	80	80	0	0	0	0	300	300
05	80	80	0	0	0	0	300	300
06	80	80	0	0	0	0	300	300
07	80	80	0	0	0	0	300	300
08	80	80	0	0	0	0	300	300
09	80	80	0	0	0	0	300	300
10	80	80	0	0	0	0	300	300
11	80	80	0	0	0	0	300	300
12	80	80	0	0	0	0	300	300
13	80	80	0	0	0	0	300	300
14	80	0	0	0	0	0	300	300
15	80	0	0	0	0	0	300	300
16	80	0	0	0	0	0	300	300
17	80	0	0	0	0	0	300	300
18	80	0	0	0	0	0	300	300
19	80	0	0	0	0	0	300	300
20	80	0	0	0	0	0	300	300
21	80	0	0	0	0	0	300	300
22	80	0	0	0	0	0	300	300
23	80	0	0	0	0	0	300	300
24	80	0	0	0	0	0	300	300

Submit Reset

- (j) Select [Submit].

A confirmation screen appears containing the acknowledgement results of the submission.

Error messages are generated if data is not correct upon submission of the values and the submission is not accepted.

- (k) Select [Save] or [Print], or [Close]. The AESO recommends pool participants retain a copy of the acknowledgement for their records.

Note: Ancillary Services restatements or substitutions cannot be performed in the Energy Trading System training environment at this time.

Note: A historical record of the restatement and substitution submissions made for an asset can be found in the Historical OR Submissions Report. A historical and current record of the operating reserve contracts, and the MW amount and Asset ID(s) allocated to fulfil those contracts can be found in the Historical OR Contracts Report. These reports are located in the Reports area in the Energy Trading System.

3 Ancillary Service Substitutions

3.1 Create an Ancillary Service Substitution

- (a) Under the ETS menu, select [Submission] tab.

Note: The screen opens to the [Submission Information] tab by default.

- (b) Click on the [Ancillary Service] tab.

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Constraints | Outage Scheduling | Offer Control Maintenance

Start

1. Select Submission Action:
Substitution ▼

2. Select Dispatch Date:
10/21/2021 ▼

3. Choose Asset Type:
☐ Sink ☒ Source

4. Choose Service Type:
RR ▼

Next

- (c) From the [Select Submission Action] list box, choose Substitution.
- (d) From the [Select Dispatch Date] list box, choose the date.
Note: Prior to 6pm Mountain Time each day, only the current day is available to be substituted. After 6pm, both the current day and the next day will be available for substitution.
- (e) From the [Choose Asset Type], click on the [Sink] or [Source] radio button.
- (f) From the [Choose Service Type] list box, choose the product. Click [Next].
- (g) From the [Select Original Asset (to substitute out of)] list box, choose the desired pool asset. From the [Select Target Asset (the substitute)] list box, choose the desired pool asset.

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Constraints | Outage Scheduling | Offer Control Maintenance

Start | **Result**

5. Select Original Asset (to substitute out of):

Identifier	Name
AB1	AB1 Training
AB2	AB2 Training
AB3	AB3 Training

6. Select Target Asset (the substitute):

Identifier	Name
AB2	AB2 Training
AB3	AB3 Training

- (h) After selecting the desired target pool asset, the [Data Entry] tab will appear.

Submission Information
Energy Submission
Ancillary Service
DDS Submission
Maintenance
Constraints
Outage Scheduling
Offer Control Maintenance

Start
Result
Data Entry

Enter Ancillary Service Data for ABC Electric Ltd., to substitute AB1 Training(AB1) Source, RR, for Oct 21,2021, AB2 Training(AB2)

7. Enter Data

Submission Helper
Begin (hour ending): 17 ▾
End (hour ending): 24 ▾
Active (MW):
Standby (MW):

Hour End	AB1		AB2		Total
	Active	Standby	Active	Standby	
01	80	0			0
02	80	0			0
03	80	0			0
04	80	0			0
05	80	0			0
06	80	0			0
07	80	0			0
08	80	0			0
09	80	0			0
10	80	0			0
11	80	0			0
12	80	0			0
13	80	0			0
14	80	0			0
15	80	0			0
16	80	0			0
17	80	0			0
18	80	0			0
19	80	0			0
20	80	0			0
21	80	0			0
22	80	0			0
23	80	0			0
24	80	0			0

- (i) To perform a substitution, from the [Begin and End (hour ending)] list boxes in the [Submission Helper] section, select the the desired begin and end time.
Note: The [Begin] HE will automatically populate to the current hour. The [End] HE will automatically populate to HE 24.
- (j) Enter the MW value to be substituted in the [Active] market and/or in the [Standby] market. Select [Fill].
Note: To add data individually to each cell, click on the cell and add the data. Remember to exit that cell before exiting the screen, or the data from that cell will not be added.

Submission Information Energy Submission Ancillary Service DDS Submission Maintenance Constraints Outage Scheduling Offer Control Maintenance

Start Result Data Entry

Enter Ancillary Service Data for ABC Electric Ltd., to substitute AB1 Training(AB1) Source, RR, for Oct 21,2021, AB2 Training(AB2)

7. Enter Data

Submission Helper

Begin (hour ending): 17 ▾

End (hour ending): 24 ▾

Active (MW):

Standby (MW):

Hour End	AB1		AB2		Total
	Active	Standby	Active	Standby	
01	80	0			0
02	80	0			0
03	80	0			0
04	80	0			0
05	80	0			0
06	80	0			0
07	80	0			0
08	80	0			0
09	80	0			0
10	80	0			0
11	80	0			0
12	80	0			0
13	80	0			0
14	80	0			0
15	80	0			0
16	80	0			0
17	80	0	80	0	160
18	80	0	80	0	160
19	80	0	80	0	160
20	80	0	80	0	160
21	80	0	80	0	160
22	80	0	80	0	160
23	80	0	80	0	160
24	80	0	80	0	160

- (l) Select [Submit].

A confirmation screen appears containing the acknowledgement results of the submission.

Error messages are generated if data is not correct upon submission of the values and the submission is not accepted.

- (m) Select [Save] or [Print], or [Close]. The AESO recommends pool participants retain a copy of the acknowledgement for their records.

Note: Ancillary Services restatements or substitutions cannot be performed in the Energy Trading System training environment at this time.

Note: A historical record of the restatement and substitution submissions made for an asset can be found in the Historical OR Submissions Report. A historical and current record of the operating reserve contracts, and the MW amount and Asset ID(s) allocated to fulfil those contracts can be found in the Historical OR Contracts Report. These reports can be located in the Reports area in the Energy Trading System.

4 Ancillary Services Offer Control

Pool participants have the ability to submit Offer Control Party information on a historical basis if they are unable to submit the Offer Control Party at the same time as their submission data. Participants can set up a default offer control party that will apply to future operating reserve submissions, as well as edit historical offer control parties up to 30 days from the dispatch date.

4.1 Create Operating Reserve Historical Offer Control

- (a) Under the ETS menu, select [Submission] tab.

Note: The screen opens to the [Submission Information] tab by default.

- (b) Click on the [Ancillary Service] tab.

- (c) From the [Select Submission Action] list box, choose Historical Offer Control.
 (d) From the [Select Dispatch Date] – choose any date up to 30 days from the dispatch date.
 (e) Under [Choose Asset Type], click on [Sink] or [Source] radio button. Click [Next].

Note: All cells are greyed out because the Offer Control Party is being entered historically (i.e. after the dispatch date).

Note: Participant cannot edit historical Offer Control Party information for the current day. Edits are only available after midnight.

- (f) Under [Enter Offer Control by type] enter [Begin (hour ending)], [End (hour ending)], [Commodity], [Product], [Trade Id].
 (g) Under [Offer Control Parties linked to this Asset] select the relevant offer control party or parties. Select [Fill Offer Control].

Note: To add data individually to each cell, click on the cell and add the data. Remember to exit that cell before exiting the screen, or the data from that cell will not be added.

5. Enter Offer Control by type

Begin (hour ending): 01

End (hour ending): 24

Commodity: All

Product: All

Trade Id: All

Offer Control Parties linked to this

Select	ID	Legal Name
<input type="checkbox"/>	ABCD	ABC Power Ltd.
<input type="checkbox"/>	ABCE	ABC Electric Inc.

Fill Offer Control Clear Offer Control

Sort By:

- ☒ HE, Commodity, Product, Trade ID
- ☐ Trade ID, HE
- ☐ Trade ID, HE

HE	Commodity	Product	Trade Id	Price	Premium Price	Activation Price	Volume	Offer Contr
				\$/MW	\$/MW	\$/MW	MW	
01	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
02	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
03	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
04	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
05	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
06	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
07	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE
08	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
09	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
10	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
11	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
12	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
13	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
14	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
15	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
16	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
17	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
18	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
19	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
20	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
21	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
22	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
23	STANDBY	RR	1111-222-33333333-1	0.0	111.0	222.0	999	ABCE
24	STANDBY	RR	1111-222-33333333-0	0.0	111.0	222.0	999	ABCE

Submit Clear All Reset

- (h) Select [Submit].

A confirmation screen appears containing the acknowledgement results of the submission.

Error messages are generated if data is not correct upon submission of the values and the submission is not accepted.

- (i) Select [Save] or [Print], or [Close]. The AESO recommends pool participants retain a copy of the acknowledgement for their records.

4.2 Create Operating Reserves Default Offer Control

- (a) Under the ETS menu, select [Submission] tab.

Note: The screen opens to the [Submission Information] tab by default.

- (b) Click on the [Ancillary Service] tab.

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Maintenance | Constraints | Outage Scheduling | Offer Control Maintenance

Start

1. Select Submission Action:

2. Select Dispatch Date:

3. Choose Asset Type:
☐ Sink ☒ Source

- (c) From the [Select Submission Action] list box, choose Default Offer Control.
- (d) Under [Choose Asset Type], click on [Sink] or [Source] radio button. Click [Next].

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Maintenance | Constraints | Outage Scheduling | Offer Control Maintenance

Start | **Result** | **Data Entry**

Enter Operating Reserve Default Offer Control for AB1 Training(AB1), Source

5. Enter Offer Control:
 Product:

Product	Offer Control
RR	
SR	
SUP	

Offer Control Parties linked to this Asset:

Select	ID	Legal Name
<input type="checkbox"/>	ABCD	ABC Power Ltd.
<input type="checkbox"/>	ABCE	ABC Electric Inc.

- (e) Under [Enter Offer Control], choose the applicable product.
- (f) Select default offer control parties from [Offer Control Parties linked to this Asset].
- (g) Select [Fill Offer Control].

Submission Information | Energy Submission | **Ancillary Service** | DDS Submission | Maintenance | Constraints | Outage Scheduling | Offer Control Maintenance

Start | **Result** | **Data Entry**

Enter Operating Reserve Default Offer Control for AB1 Training(AB1), Source

5. Enter Offer Control:
 Product:

Product	Offer Control
RR	ABCE
SR	ABCE
SUP	ABCE

Offer Control Parties linked to this Asset:

Select	ID	Legal Name
<input type="checkbox"/>	ABCD	ABC Power Ltd.
<input type="checkbox"/>	ABCE	ABC Electric Inc.

Note: If any Default Offer Control Party is designated, ETS will apply the Default Offer Control Party to future operating reserve submissions. Designation of a Default Offer Control Party will not replace any historical contracts for which the Offer Control Party has already been specified, nor does it add Offer Control Parties to historical contracts.

Note: Substitutions of operating reserve volumes to a different asset will use the default offer control, if assigned, of the asset that the volumes have been assigned to.

Note: Restatements do not affect offer control.

Note: The Default Offer Control Party will not be applied to offers for the energy market as there is a separate process in ETS for making this specification (see Energy Trading System Pool Participant Manual for Submissions which can be found on the AESO website at www.aeso.ca > Market > Energy Trading System Tools > ETS manuals).

- (h) Select [Submit].

A confirmation screen appears containing the acknowledgement results of the submission.

Error messages are generated if data is not correct upon submission of the values and the submission is not accepted.

- (i) Select [Save] or [Print], or [Close]. The AESO recommends pool participants retain a copy of the acknowledgement for their records.

5 How to Identify an Offer Control Party

The AESO collects Offer Control Party information from pool participants at an operating block level. That means that for every price and quantity pair in an offer to the energy market (up to 7 operating blocks) and in an offer to the dispatch down service market (1 operating block), pool participants provide the identity of the Offer Control Party or Parties for each operating block. For offers made to the ancillary services market (operating reserves for the purpose of compliance with section 6 of the *Fair, Efficient and Open Competition Regulation* (the “FEOC Regulation”), the AESO collects Offer Control Party information for each transaction that has been cleared by the Watt Exchange (or alternative market) that is available to be issued a dispatch by the AESO. This includes offers for both standby and active operating reserves.

It is anticipated that in the majority of situations a single Offer Control Party exists for each operating block and that relationship may never change. In the instance that the Offer Control Party does change, the market participant submits the identity of such party to the AESO.

If more than 1 party has an ownership stake in a pool asset, the AESO only needs to receive the identity of the party or parties that take an active role in the determination of the offers (on an operating block specific basis) for that pool asset. “Active role” also includes a party that may not be involved in day-to-day offer determinations but has a standing position on the make-up of the offer.

Examples:

- (a) A pool asset is jointly owned by Company A and Company B. However, Company B's interest is purely financial, and it has absolutely no influence in the determination of offers for the pool asset. The market participant should show offer control for each operating block as Company A.
- (b) A pool asset is owned by a partnership/joint venture, etc.
 - (i) If a delegation of authority has been agreed to between the parties, formally or implicitly, then the delegate is the Offer Control Party. In the absence of such an agreement the parties need to determine if 1 or more parties is behaving in such a manner that suggests that they are the ultimate decision maker and they should then identify themselves as the Offer Control Party accordingly.
 - (ii) If the decisions are made collectively amongst more than 1 party then the market participant should show each of the Offer Control Parties on an operating block specific basis, as applicable.
- (c) If individual operating blocks within an offer are controlled by different parties then the market participant should show the respective parties for each operating block.

In all situations regarding coordinated efforts between market participants for submitting offers for energy into the power pool, market participants are reminded to avail themselves of the complete set of requirements under the FEOC Regulation.

The focus is on collecting the identity of the pool participants that function as Offer Control Parties. This approach aids in ensuring that the identities collected are reflective of the actual active players in the market.

Hypothetical Examples:

- (a) Alberta Generator Company Ltd. (AGC) is a pool participant and a wholly owned subsidiary of FEOC Alberta Ltd. AGC is the entity that decides how the energy from the generating pool asset is offered and as such they would be identified as the Offer Control Party, not AGC's parent company.
- (b) Canada Electricity Ltd. (CE) is not a pool participant, but it alone decides how the energy from the generating pool asset it owns is offered. CE is a wholly owned subsidiary of 06XX29 Alberta Ltd., which is owned entirely by 12YY56 Alberta Ltd. In this situation, the Offer Control Party would be identified as the highest company in that corporate hierarchy (the ultimate parent company, 12YY56 Alberta Ltd.) as none of the companies in the hierarchy are pool participants. However, as the search moves up the corporate structure in search of the ultimate parent company, if any of the entities is a pool participant then that company is reported as the Offer Control Party.

6 Contact Information

For additional clarification or further questions, please call the AESO FirstCall at 1-888-588-AESO (2376), or email info@aesocanada.ca. The Market Support team would be happy to provide further assistance.