



March 11, 2010

Dear Market Participants and Interested Parties:

Re: AESO Reply to Stakeholder Comments - Further Proposed Amendments to ISO Rules 10 Information Exchange:

- a) Specific amendments to ISO rules 10.9 Confidentiality (to be Part 100, Division 103 Administration, Section 103.1 Confidentiality);**
 - b) Removal of Obsolete Existing Appendix 5 of ISO Rules; and**
 - c) Confirmation of Removal of Obsolete Existing ISO rules Sections 10.1 to 10.9**
- (collectively “Rule 10 Changes”)**

The AESO is required to provide a reply to market participant comments pursuant to Section 8 of the Alberta Utilities Commission (“Commission”) Rule 017. The proposed Rule 10 Changes being recommended for internal AESO approval in March of 2010 are attached. Please note that the Rule 10 Changes were initially distributed for re-consultation on February 5, 2010.

Transition of Authoritative Documents (“TOAD”) Reforms

The Rule 10 Changes are the result of specific changes and reforms with respect to the TOAD Project. The AESO is proposing to eliminate duplication, address conflicts and gaps and improve clarity among and within its three authoritative document domains: the ISO rules, the ISO tariff and the Alberta Reliability Standards. Information on the TOAD project can be found on the AESO website at www.aeso.ca.

Re-consultation on Rule 10 Changes

The re-consultation on the Rule 10 Changes included further amendments to proposed new Section 103.1 Confidentiality which will appear as a newly reformed ISO rule in the new ISO rules framework and reconfirmed the proposed removal of obsolete obligations requiring the AESO to maintain and publish certain reports and information that currently appear in Sections 10.1 through 10.9 of ISO rules 10. In addition, the AESO identified for removal Appendix 5 *Information to be Provided through the ISO*, as currently the AESO publishes all contemporary reports on its website.

Stakeholder were further reminded that existing Section 10.10 Load Outage Reporting would remain in the existing ISO rules framework until it was transferred into a new ISO rule at some time at a later date under a separate TOAD initiative.

AESO Reply to Stakeholder Comments

Please note that no written comments were received by the AESO on the proposed Rule 10 Changes.



For ease of reference, the following Table is hyperlinked to provide assistance in directing stakeholders to the summary of the Rule 10 Changes and the attachments. The attached summaries provide more information on the Rule 10 Changes and why they are required.

Rule Number	Description	Level	Most relevant stakeholder interest
103.1	Confidentiality	II	All Market Participants
Appendix 5	Information to be Provided Through the ISO	II	All Market Participants
10.1 – 10.9	Various Information and Reports	II	All Market Participants

Pursuant to Section 9 of Commission Rule 017, please note the following:

1. The AESO will be filing the Rule 10 Changes with the Commission on March 29, 2010.
2. Market Participants, as defined in the *Electric Utilities Act* (“EUA”), may object to an ISO rule filed with the Commission within 10 days of the publication by the Commission of the notice of filing of the ISO rule, in accordance with Section 20.4 of the EUA; and
3. The Rule 10 Changes are proposed to be effective on April 30, 2010, in accordance with Section 20.3 of the EUA.

Yours sincerely,

Original Signed By

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Final Proposed LEVEL II ISO Rule Changes

Level II changes are changes that are expected to have a moderate or low impact on the industry or the ISO, and generally require little or no effort by stakeholders for implementing a solution. They are provided to stakeholders to review to ensure that the expected impact is reasonable, to identify unrealized impacts and/or to provide notice of the potential change in administrative processes.

Revised and Amended Draft of ISO Rule Section 103.1 Confidentiality	
Rule Section/Number	Part 100, Division 103 Administration, Section 103.1 Confidentiality
Summary of ISO Rule	ISO rule Section 103.1
Summary of Additional ISO Rules Amendments or Modifications	<p>The following summarizes the key amended rules text differences to new ISO rules Section 103.1 from the version contained in the original October 8, 2009 Letter of Notice:</p> <ul style="list-style-type: none"> • To allow for the AESO to communicate with an affected market participant when it is taking the position certain information will not be treated as confidential; • To allow an affected market participant to communicate its concerns to the AESO when the AESO is taking the position certain information will not be treated as confidential.
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	April 30, 2010
AESO Contact	Regulatory Services, Evelyn Kelly, evelyn.kelly@aesoc.ca , (403) 539-2468



Attachments

See attached:

[Comparison and Rationale Document for final Changes to ISO rules Section 103.1.](#)



ISO Rules Appendix 5 (Remove)

Rule Section/Number	Part 4, Appendix 5 Information to be provided through the ISO
Summary of ISO Rule	Appendix 5 summarizes information that will be published and made available through the AESO.
Reason for Removal	<p>The AESO has deemed Appendix 5 of the ISO rules to be an extension of ISO rule 10 Information Exchange. Appendix 5 is also not cross-referenced as a requirement in any other ISO rules. As such, in conjunction with the proposed restructuring of ISO rule 10, Appendix 5 is proposed to be removed from the ISO rules. The AESO has recently posted a complementary document to Appendix 5 on the AESO website:</p> <p>http://www.aeso.ca/downloads/Market_Reports_Jan_2010.pdf.</p>
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	April 30, 2010
AESO Contact	Regulatory Services, Evelyn Kelly, evelyn.kelly@aesoc.ca , (403) 539-2468
Attachments	<p>See attached:</p> <p>Rationale Document for final Removal of Appendix 5, including a copy of existing Appendix 5 to be removed.</p>



ISO Rules Sections 10.1 through 10.9 (Remove)

Rule Section/Number	Part 2, ISO rule 10 Information Exchange 10.1 Information Disclosure Principles 10.2 Forecast Scheduling Period Data, Six Day Forecast 10.3 Day Ahead, Trading Day Forecast 10.4 Next Two Settlement Intervals Forecast 10.5 Settlement Interval Following 10.6 Trading Day History 10.7 ISO Records and Reports 10.8 Ancillary Services Information Provision 10.9 Confidentiality
Summary of ISO Rule	Various information and reports provided by the AESO
Reason for Removal	The AESO proposes to remove ISO rules Sections 10.1 through 10.9 as the list of reports referenced in these sections are not representative of the complete set of reports the AESO produces nor does the AESO believe it is practical or efficient to maintain a current list of reports in an ISO rule and utilize the regulatory process for maintaining the list of reports.
Level	II
Explanation of Confidentiality	None
Proposed Effective Date	April 30, 2010
AESO Contact	Regulatory Services, Evelyn Kelly, evelyn.kelly@aeso.ca , (403) 539-2468



Attachments

See attached:

[Rationale Document for final Removal of ISO Rules Sections 10.1 – 10.9](#) including a copy of existing ISO rules Sections 10.1 through 10.9 to be removed.