

AESO Principles for Consultation on ISO Rules Changes



1. The ISO rules consultation process will be inclusive, transparent, fair and efficient and will be understood and accepted by all parties.
2. All parties will act in good faith and in a fair and respectful manner when engaged in the ISO rules consultation process.
3. The AESO has a statutory obligation to ensure the safe and reliable operation of the Alberta interconnected electric system (“AIES”) and to promote a fair, efficient and openly competitive market for electricity. In the ISO rules consultation process, the interests of individuals must be considered in the context of the reliable operation of the AIES and in the public interest as a whole.
4. The ISO rules consultation process, particularly with respect to the degree of detail of information provided by the AESO and stakeholders, the time required for review of that information, and the amount of related discussion, will be commensurate with the importance, complexity, potential impacts, and urgency of the draft ISO rules changes.
5. The AESO will commence consultation early in the ISO rules consultation process to allow sufficient time for stakeholder participation and will provide stakeholders with complete, accurate, timely and comprehensible information. AESO information will be made available in a manner that stakeholders can readily understand and with adequate time for review and consideration.
6. The ISO rules consultation process will involve a full discussion of the views of the stakeholders in order to enable the AESO to make the best decision possible in the context of the AESO statutory mandate.
7. The AESO may develop a written Terms of Reference for each ISO rules consultation process¹, which may include description of relevant issues, establishment of working groups, one or more technical conferences, and a proposed schedule of key dates. The AESO will seek stakeholder input before finalizing each Terms of Reference.
8. Stakeholders will be given the opportunity to provide written comments in response to AESO documentation made available during the ISO rules consultation process.
9. Each stakeholder will raise all concerns or suggestions for improvement, and provide those concerns or suggestions for improvement in writing for discussion with others

¹ A written Terms of Reference may not be appropriate in all cases depending on the complexity of the draft ISO rule changes

participating in the ISO rules consultation process and to share information necessary for others to understand and evaluate those concerns or suggestions.

10. All Terms of Reference, AESO information, comments from stakeholders with AESO replies, agendas and action items will be in writing and will be posted on the AESO website. Only those comments received from stakeholders in writing will be considered by the AESO when finalizing draft ISO rules changes.
11. When finalizing the draft ISO rules changes, the AESO will have regard for all written stakeholder input received during the ISO rules consultation process and will provide a written explanation where the draft ISO rules changes could not address a stakeholder concern or accommodate a stakeholder suggestion.
12. While written input from stakeholders during the ISO rules consultation process will inform the AESO's decision-making, the responsibility for the decision rests with the AESO, pursuant to its statutory mandate.