May 26, 2015

To: Legal Owners of Critical Facilities

Re: Compliance Monitoring Program – Section 501.2 of the ISO Rules, Security of Critical Facilities (Section 501.2) – Self-Assessment Template

Please note that Section 501.2 is only applicable to legal owners of critical facilities named in the critical infrastructure list maintained by the Justice and Solicitor General Branch of the Government of Alberta (JSG). All impacted parties have previously been notified by the AESO.

The AESO has now posted Parts 1 and 2 of the Section 501.2 self-assessment templates on the AESO website <click here> or go to Compliance->ISO Rules->ISO Rule 501.2 Compliance Monitoring. Part 1 is unchanged and as was previously forwarded on May 4, 2015. Part 1 pertains to a critical facility's security management plan and Part 2 pertains to the emergency response plan for the critical facility.

Additionally, as indicated in our letter of May 4, 2015, AESO Compliance is holding a stakeholder session where further clarity will be provided on the completion of the self-assessment templates. This stakeholder session will include a walkthrough of the templates, together with sample responses, and will be conducted jointly with representatives from the Energy Security Unit of the JSG. This session is scheduled as follows:

- **Calgary** – June 5, 2015, 10:00 a.m. – 12 p.m.
- **BP Centre** – Room 6006, 6th Floor, 240 4th Avenue SW, Calgary

Further details on this stakeholder session are attached to this letter.

In preparation for this session, please review the templates and forward any questions to securityrulecompliance@aeso.ca. Questions on the templates will be answered during the session for the benefit of all attendees.

For any questions or concerns, please contact the AESO at securityrulecompliance@aeso.ca or call myself at 403.539.2831 or Jeff Norek at 403.539.2844.

Yours truly,

Kevin Wipond
Manager, Compliance – ISO Rules

cc: Jeffrey Norek, Manager Corporate Security
AESO Compliance - Stakeholder Presentation

ISO Rules Compliance Monitoring Program
For Section 501.2 of the ISO Rules, Security of Critical Facilities

10:00 a.m. until 12 p.m. June 5, 2015

Alberta Electric System Operator
BP Centre – Room 6006, 6th floor
240 4th Avenue S.W., Calgary

The AESO is pleased to invite interested stakeholders to a presentation by the ISO Rules Compliance Group. The purpose will be to provide additional clarity on the completion of the self-assessment template, as part of the Compliance Monitoring Program (CMP) for Section 501.2 of the ISO Rules (Section 501.2).

Tentative Agenda:

- Brief review of 2015 program roll out
- Walkthrough of Parts 1 and 2 of the Section 501.2 self-assessment template
- Questions and Discussion

Please note that the intent of this session is to step through each section of the self-assessment template (Part 1 – threat response plans, Part 2 – emergency response plans) and provide guidance on the level of detail and type of response expected. To increase the effectiveness of the meeting, stakeholders are strongly encouraged to review the template and forward questions ahead of time (to the email address below) so that the AESO can consider and have responses available during the session.

Also note that as there is a lot of material to cover, we will NOT be spending time in this meeting discussing the overall CMP, or the associated rule or Alberta Counter-Terrorism Crisis Management Plan (ACTCMP). Stakeholders with questions of that nature are encouraged to contact either Kevin Wipond or Jeff Norek directly.

Intended Audience: This presentation is intended for organizations identified in the critical infrastructure list established under the ACTCMP.

RSVP to securityrulecompliance@aeso.ca no later than June 3, 2015. As seating space is limited, please indicate whether or not you will attend and how many will be attending from your organization. In case the confirmed number of attendees is more than the AESO can accommodate, the AESO may decide to limit the number of seats per organization.

For More Information: Please contact Kevin Wipond at 403.539.2831, Jeff Norek at 403.539.2844 or email the AESO at securityrulecompliance@aeso.ca.