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Reference #	Requested By	Rule Under Exemption	Ending Date or Circumstance	Details of Exemption
2003-001-01	Epcor Energy Services (Alberta) Inc.	5.3.4.c.		ISO approves an exemption to the ISO Rules, rule 7, section 5.3.4.c. Specifically, the ISO will allow (Epcor Energy Services) 20 business days from the date (Epcor) receives the information (Epcor has) requested from ANC to perform (the) analysis and submit a Notice of Dispute. This exemption is for any of the PFAM claims that were submitted by ANC on or before October 1, 2003.
2003-002-01	ATCO Electric	7.4.h.		Specifically (ATCO has) requested that ATCO be permitted to respond each day only to enrollment requests (SRRs) received by 3:00pm and that all SRRs received after 3:00pm will be processed on the following day with the following day's effective date. (ATCO has) stated that (the) reason is due to the enrollment systems being unavailable for regular operations after 3pm each day. The unavailability is for a period of one month, and required for enrollment of approximately 50,000 sites per day to Direct Energy. The ISO grants (ATCO) this exemption for the month during which the transfer to Direct Energy occurs. (ATCO is) required to notify all retailers no later than 5 business days prior to the first day of the month in which this exemption is to occur.  Details of Exemption: The ISO grants (ATCO) this exemption for the month during which the transfer to Direct Energy occurs. (ATCO is) required to notify all retailers no later than 5 business days prior to the first day of the month in which this exemption is to occur.
2005-001-01	FortisAlberta	2.14.		The ISO exempts FortisAlberta from this rule, under the following conditions: 1. Regardless of the date upon which Dropchute communication begins, FortisAlberta shall be responsible for providing copies of all transactions sent to market participants as of January 17, 2005 to the AESO. The copies may be provided on CD on an ongoing basis, or retroactively once Dropchute communication begins. 2. This exemption will end on or before January 31, 2005
2005-002-01	FortisAlberta	Schedule B.6.1.2. Schedule B.6.1.3.	until market review has occurred	FortisAlberta is currently in contravention of these rules as follows:  1. Schedule B.6.1.2 Daily Cumulative Meter Consumption to Retailer/RRPs and Settlement (DCM) • Fields 15 and 16: The format for these fields does not allow for decimal places. Some FortisAlberta meters require the use of decimal places for reporting the data. • Fields 17 and 18: The format for these fields is Number (5). Some FortisAlberta meters require the use of six digits for reporting the data due to the multiplier set-up. • Field 19: The format for this field is Number (6,1). Some FortisAlberta meters require the use of more than one decimal place for reporting the data.  2. Schedule B.6.1.3 Site Metering Characteristics (SMC) • Fields 19 and 21: The format for these fields is Number (6,1). Some FortisAlberta meters require the use of up to 3 decimal places for reporting the data.  Details of Exemption: The ISO exempts FortisAlberta from the aforementioned aspects of these rules, until such time as a market-wide review has been completed, and the next course of action is determined.

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2005-004-01	ATCO Electric	Schedule B.4.6.14	September 1, 2005	<p>Details of Exemption: AESO has acknowledged that ATCO Electric requires time to convert meters at approximately 50 cumulative sites to comply with the ISO rule above. AESO grants an exemption to ATCO Electric to the above referenced ISO rule until September 1st 2005.</p> <p>As part of the exemption, you are required to:</p> <ol style="list-style-type: none"> <li>1) notify any affected retailers of this exemption and your expected date of compliance,</li> <li>2) notify the AESO in writing when you have achieved compliance, and</li> <li>3) notify the AESO in writing if you cannot achieve compliance by September 1st, 2005.</li> </ol>
2005-005-01	ATCO Electric	Schedule B.6.5.2.	until market review has occurred	<p>Details of Exemption: AESO grants a temporary exemption to ATCO Electric for use of the non-compliance SMC transaction between ATCO Electric and its regulated default retailer until such time as AESO considers ATCO Electric's proposed SMC changes with the market participants. The exemption is granted to avoid additional costs to ATCO Electric for undoing and potentially re-implementing transaction changes should there be merit in adopting ATCO Electric's SMC changes for the industry.</p> <p>The exemption is subject to restricting the use of the non-conforming transaction between ATCO Electric and its regulated default retailer only and not to include any other market participants. The exemption is effective only until such time as AESO completes consultation with market participants on changes to this transaction. ATCO Electric will be expected to comply with the new standards resulting from this consultation, or if no changes are made to the standards, revert to the current standards.</p> <p>We also re-affirm that all settlement transactions are established in the ISO Rules and changes to those transactions are administered by the AESO through a consultation process with market participants. Should there be a need for settlement transaction changes, they should be brought forward to the AESO for consideration.</p>
2005-011-03	FortisAlberta	enrollment process for REA sites only	until market review has occurred	<p>AESO has issued a Non-Compliance Notice, Reference 2005-011-01 to Fortis Alberta regarding enrollment processing obligations for Battle River REA sites. We understand that the resolution for compliance involves cost estimates, assessments, and potentially system changes. During this period of review, AESO is formally granting Fortis Alberta an exemption to their obligation to perform the enrollment process for REA sites for which they are the responsible LSA. This exemption is effective only until such time as AESO completes consultation with market participants on this issue. Once consultation is completed, Fortis Alberta will be expected to comply with any new standards established.</p> <p>We also re-affirm that all settlement transactions are established in the ISO Rules and changes to those transactions are administered by the AESO through a consultation process with market participants. Should there be a need for settlement transaction changes, they should be brought forward to the AESO for consideration.</p>

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2005-012-01	FortisAlberta	Schedule B.6.2.6.	until market review has occurred	<p>FortisAlberta has identified a number of sites in their service area with energy consumption exceeding one million kWh per day. The consumption amounts cannot be coded correctly within the standards defined in the ISO Rules for the WSD transaction, stating that the type/size field is limited to 8 digits (Number 8,2). AESO recognizes this as a problem which affects a small number of sites and needs to be addressed through a change in ISO rules. AESO will propose changes to the existing rules through an industry consultation to minimize potential system impacts to market participants. Until the consultation is completed, AESO will permit FortisAlberta to continue the practice of issuing WSD transactions with a 9 digit field for energy consumption (Number 9,2) in place of the 8 digit field (Number 8,2) as stated in the ISO Rules, for those sites that exceed one million kW.h per day.</p> <p>As part of this exemption, we are requesting that FortisAlberta to:</p> <ul style="list-style-type: none"> <li>• notify all affected retailers of this exemption,</li> <li>• address any concerns those retailers may have, and</li> <li>• provide AESO with concerns, if any, that have not been addressed</li> </ul>
2006-001-01	Epcor Distribution	Schedule B.6.2.4.1. Schedule B.6.2.4.2.		<p>Epcor has requested an exemption to the February 1, 2006 implementation date noted in the above rules, in its letter of December 8, 2005. AESO understands the primary reason for the request is to enable cost effective implementation of systems to meet the rules. Epcor has indicated that they will be ready to implement the new DSM format for September 1, 2006. AESO views this request as having minimal impact on other market participants and supports minimizing the costs to the industry, and will grant an exemption to the above stated rules until September 1, 2006, as requested. By this date, Epcor will be expected to provide this transaction data in the DSM format, and provide the data via Dropchute as stated in the ISO rules.</p>
2006-004-01	ATCO Electric	Schedule A.3.2 Schedule A.3.3		<p>ATCO Electric has requested, on January 27, 2006, for an exemption on their commercial and industrial proxy day profiles to:</p> <ol style="list-style-type: none"> <li>1. "continue to use of 1996-1998 profile data to generate proxy day profiles until June 30, 2006."</li> <li>2. "allow the use of only 2004 and 2005 profile data to generate proxy day profiles for July 1 2006 through June 30 2007."</li> </ol> <p>ATCO Electric has demonstrated that they comply with ISO Rule 7 Schedule A.2.3.c. The RSE results reveal that proxy day models based on two years of historical data were stable and comply with the A2.3.c. The AESO is granting an exemption to ATCO Electric with respect to ISO Rule 7, Schedule A 3.3 until June 30, 2006, and ISO Rule 7, Schedule A 3.2 until June 30, 2007. By these dates, 2007, ATCO Electric will expect to comply with the stated sections of the ISO Rules.</p>