



Summary of ISO Rule Changes

EFFECTIVE DATE	RULE CHANGE
December 1, 2007	<p>Part One – General</p> <p>G1 - Definitions</p> <p>Definitions added:</p> <ul style="list-style-type: none"> Available Capacity Current DDS Submission Current Submission Dispatch Down Service (DDS) DDS Block DDS Dispatch DDS Dispatched Off DDS Dispatched On DDS Merit Order DDS Offer Energy Production Uplift Forecast Asset Marginal Price Forecast Dispatch Price Maximum Capability Reference Price <p>Definitions modified:</p> <ul style="list-style-type: none"> Acceptable Operational Reason Asset Asset Marginal Price Bid Energy Market Suspension In Merit Offer Pool Price Registered Aggregate Asset Sink Asset Source Asset System Marginal Price Trading Day <p>Definitions deleted:</p> <ul style="list-style-type: none"> Forecast Marginal Price <p>G8 – Limitation of Liability – modified to accommodate DDS</p> <p>Part Two – Market Participation Rules</p> <p>MPR 3.1, 3.2, 3.3, 3.5, 3.7, 3.9, 3.10, 5.1, 5.2, 6.1, 6.3, 6.6, 6.9, 8.1, 8.2, 10.2, 10.3, 10.4, 10.6,</p>

	<p>Part Three – Policies & Procedures Revise OPP 101, OPP, 301, OPP 302, OPP 606, OPP 705, OPP 801</p> <p>Part Four – Appendices Revise Appendix 5 Delete Appendix 6</p>
September 27, 2007	<p>Part Two – Market Participation Rules MPR 2, 8 – modified to provide a more cohesive set of rules relating to financial settlement and address previously undocumented items</p> <p>Part Three – Policies & Procedures Revise OPP 304, OPP 502, OPP 505, OPP 515, OPP 517, OPP 601, OPP 606, OPP 702, OPP 1001, OPP 1301 Remove OPP 522</p>
June 14, 2007	<p>Part Three – Policies & Procedures Revise OPP 003.2, OPP 406, OPP 501, OPP 515, OPP 702</p>
March 15, 2007	<p>Part Three – Policies & Procedures Revise OPP 312, OPP 313, OPP 401, OPP 402, OPP502, OPP 515, OPP 704, OPP 801</p>
December 22, 2006	<p>Part Three – Policies & Procedures Add OPP 522 Revise OPP 304, OPP 313, OPP 510, OPP 521, OPP 603, OPP 604, OPP 605, OPP 702, OPP 1202, OPP 1203</p>
September 28, 2006	<p>Part Two – Market Participation Rules MPR 7, 4.5 d) modified to reflect the needs of the market (effective October 1, 2006)</p> <p>Part Three – Policies & Procedures Add OPP 307 Revise OPP 503, OPP 507, OPP 515, OPP 702, OPP 901, OPP 1303</p>
July 11, 2006	<p>Part Three – Policies & Procedures Revise OPP 103, OPP 501, OPP 502, OPP 505, OPP 517, OPP 702</p>
May 16, 2006	<p>Part Three – Policies & Procedures Add OPP 521 Revise OPP 304, OPP 503, OPP 510</p> <p>Part Four - Appendices Revise Appendix 3</p>
April 27, 2006	<p>Part Three – Policies & Procedures Revise OPP 003.1, OPP 003.3, OPP 303, OPP 304, OPP 312, OPP 313, OPP 501, OPP 515, OPP 805</p>
February 21, 2006	<p>Part Three – Policies & Procedures</p>

	<p>Add OPP 520 Revise OPP 201, OPP 503</p>
December 20, 2005	<p>Part One – General Definition added: Merchant Transmission Facility Merchant Facility Proponent Open Access Merchant Transmission Terms and Conditions</p> <p>Part Two – Market Participation Rules MPR 9.6 a new rule to comply with s. 15(5) of the Transmission Regulation MPR 7, Schedule B.6.2.4 modified to reflect the needs of the market</p>
December 1, 2005	<p>Part One – General Definition added: Project Energization</p> <p>Part Two – Market Participation Rules MPR 9.1.3.6 a) & b) modified to reflect new definition</p> <p>Part Three – Policies & Procedures Add OPP 519, OPP 806 Revise OPP 401, OPP 405, OPP 508, OPP 704</p>
September 29, 2005	<p>Part One – General Definition added: Generating year Minimum Stable Generation STS Capacity System Contribution</p> <p>Part Two – Market Participation Rules MPR 3.10 modified to reflect a price floor of \$0. MPR 6.3.5 a new rule to provide rules that underlay the procedure for directing multiple \$0 offers. MPRs 8.4.3 a) and 8.5.1 c) modified to change the payment date. MPR 9.5 a new rule to define the annual performance criteria for refund of system contribution.</p> <p>Part Three – Policies & Procedures Add OPP 103 Revise OPP 313, OPP 507</p>
August 25, 2005	<p>Part One - General Definition added: Direction</p>

	<p>Designated TFO Energization Final Cost Report Interconnected electric system NID NID Estimate Owner Project Project Change Proposal Project Material Project Progress Report Quarter Quarterly Projects Report Service area Service Proposal Service Proposal Estimate TR Transmission Customer</p> <p>Part Two - Market Participation Rules For Transmission Facility Projects: Add Rules 9.1.</p>
<p>July 27, 2005</p>	<p>Part One - General Definition added: Alberta Internal Load</p> <p>Part Two - Market Participation Rules MPR 4.3.1; 4.4 modified to reflect the new timeline.</p> <p>Part Three – Policies & Procedures Add OPP 805 Revise OPP 301, OPP 303, OPP 304, OPP 510, OPP 512, OPP 515, OPP 801, OPP 804</p>
<p>May 25, 2005</p>	<p>Part One - General Definition added: Available capacity factor Calibration factor Complaint Complainant Compressed loss factor Crown External referral Force majeure Generic stacking order ISO load forecast Incapability Factor Internal referral</p>

	<p>Loss factor methodology Normalized annual loss factor Raw loss factor Shift factor Transmission Regulation Transmission system average loss factor Transmission system losses</p> <p>Definition modified: Loss factors</p> <p>Part Two - Market Participation Rules For Transmission Loss Factors: Add Rules 9.2 and Appendix 7</p> <p>For Compliance: Add Rules 12 Modify Rules 1.4; 6.6; Appendix 4; Schedule of Administrative Penalties. Delete Rule 10.10</p> <p>Part Three – Policies & Procedures Add OPP 1203 Revise OPP 304, OPP 313, OPP 505, OPP 601, OPP 702</p>
<p>March 30, 2005</p>	<p>Part One - General Definition added: Acceptable operational reason</p> <p>Definition modified: Total declared energy</p> <p>Part Two - Market Participation Rules For Short Term Adequacy: Modify Rules 3.5.1; 3.5.2; 3.5.4 to reflect the new definition,</p> <p>Rule 7; 2.15 add to access load settlement related data, Rule 7; 5.3.8.b.2.C modify for change of RAM allocation, Rule 7; Schedule B.4.6.13 modify for Socket ID to site relationship.</p> <p>Part Three – Policies & Procedures Add OPP 517, OPP 518, OPP 705 Revise OPP 305, OPP 306, OPP 501, OPP 801, OPP 1001, OPP 1002, OPP 1003, OPP 1303</p>
<p>December 7, 2004</p>	<p>Part One - General Definitions added: Supply shortfall directive</p>

	<p>Supply shortfall energy Total declared energy</p> <p>Definition modified: Ancillary service provider</p> <p>Definition deleted: Energy variance</p> <p>Part Two - Market Participation Rules Rule 1.8 modify for clarification of appointment of an agent, Rule 1.10.2 a) modify the criteria for sharing competitive information,</p> <p>For Short Term Adequacy: Add Rules 2.2.10; 5.1.4; 6.3.4 Modify Rules 3.5.1; 3.5.2; 3.5.4; 6.6; 8.1</p> <p>Rule 7; 2.14 add to capture SSC transactions data.</p> <p>Part Three – Policies & Procedures Revise OPP 303, OPP 313, OPP 405, OPP 510</p> <p>Part Four – Appendices For Short Term Adequacy: Add Appendix 6 – Terms & Conditions For Category I, II and III Generating Assets. Modify Schedules of ISO Fees and Administrative Penalties.</p>
October 14, 2004	<p>Part One - General Definition modified: Operating week</p> <p>Part Three – Policies & Procedures Revise OPP 406, OPP 505, OPP 510, OPP 515, OPP 702</p>
August 4, 2004	<p>Part One - General Definitions added: Ancillary service declaration Operating constraints Reliability Definitions modified: Advance energy dispatch Asset Available transfer capability Operating block Definition name changed: AND UFLS to LSS Dispatched Down to Dispatched Off</p>

	<p>Dispatched Up to Dispatched On Definition deleted: Operational flag Out-of-merit</p> <p>Part Two - Market Participation Rules Rule 3 replace the term “dispatchable asset characteristic” by “operating constraints”, Rule 5.3.1 modify for removal of operational flag, Rule 6.3.2 modify for energy market dispatch information, Rule 7; 5.3.5.a. modify to provide a clear definition of an MEA PFAM (measurement error adjustment, post final adjustment mechanism). Rule 7; Schedule B 6.1.2.c.1.C add to the condition of DCM Cancellation.</p> <p>Part Three – Policies & Procedures Revise OPP 101, OPP 102, OPP 304, OPP 313, OPP 404, OPP 501, OPP 508, OPP 512, OPP 1303</p> <p>Part Four – Appendices Deleted Appendix 6 – Operational Flags</p>
<p>May 19, 2004</p>	<p>Part Two - Market Participation Rules Rule 7; Schedule B 4.6.5.a.1 AESO will manage the assignment of retailer ID’s and ensure the uniqueness of each ID.</p> <p>Part Three – Policies & Procedures Revise OPP 304, OPP 401, OPP 404, OPP 501*, OPP 505</p> <p>* OPP 501 currently has an interim approved version that was effective May 1, 2004.</p>
<p>March 3, 2004</p>	<p>Part Two – Market Participation Rules Rule 7; 4.2.2.b. revise to give a clear indication of who is responsible for determining the Average Zone UFE. Rule 7; 2.7 modify clause to ensure consistency between rules for Meter Volume Provision Rule 7; 5.3.3.a. modify to ensure LSA notifies any affected parties when PFAM claim is submitted by LSA on behalf of retailers. Rule 7; 5.3.8.b.2.a. Processing of Retailer Adjustment to Market (RAM) files not in line with ISO Rules. Will modify rule to reflect process in place.</p> <p>Part Three – Policies & Procedures Revise OPP 301, OPP 302, OPP 309, OPP 310, OPP 311, OPP 312, OPP 402, OPP 403, OPP 405, OPP 702, OPP 801,</p>

	<p>OPP 803.</p> <p>Part Four – Appendices Appendix 5</p> <ul style="list-style-type: none"> • Remove “Information to be published to the general public...in later stages”. • Change any “information to be made available...in later stages” to “Information made available” where the information is already being provided. • Update Supply/Demand Instantaneous Flows to say “Current Supply Demand” • Add line to reflect provision of information for SMP and block size in real time and hour after.
December 10, 2003	<p>Part Two – Market Participation Rules Rule 7, Schedule B.6.5.1 changed field 16 to address confusion about Last Reading Dateline</p> <p>Part Three – Policies & Procedures Revise OPP 002, OPP 501, OPP 505, OPP 510, OPP 1301 Add OPP 1002</p>
September 30, 2003	<p>Part One - General Definitions added: Enforcement escalation notice Enforcement withdrawal notice Market Surveillance Administrator Non-compliance notice</p> <p>Part Two – Market Participation Rules Rule 3.5.2 a) revised to add locking restatement guidelines Rule 7, Schedule B.6.2.2 correct SSI transaction to exclude direct connect load Rule 7, Appendix C 4 added new section on enforcement for non-compliance Rule 8.2.1 added new heading Rule 8.2.2 added for PFAM Rule 10.9.1 revised to better align MSA reporting requirements under regulations</p> <p>Part Three – Policies & Procedures Revise OPP 503, OPP 804 Withdraw OPP 506, OPP 511</p> <p>Schedules Administrative Penalties, penalty for Rule 7 Appendix C.4.3.4(b) added</p>