

September 13, 2022

As part of the ARS Enhancement Initiative, the AESO is making changes to the Compliance Monitoring Program (CMP) to better support its monitoring mandate as defined in Section 23(1)(b) of the Transmission Regulation and to allow increased flexibility to the industry by increasing the amount of time that is given to industry to perform self-certification and prepare for audit.

Effective January 1, 2023, AESO External Compliance Monitoring (ECM) is implementing the following changes to the ARS CMP:

Extension of Self-Certification Submission Period

Starting Self-Certification Cycle 1 of 2023, the self-certification submission period will be extended from the current 30 days to 90 days. This change will give market participants an additional 60 days to perform and complete their self-certification process.

Details regarding the 2022 - 2023 Self-Certification Schedule and can be accessed via the following links.

- [2022 - 2023 Self-Certification Schedule.](#)

Extension of Evidence Submission Period of Scheduled Audit

Starting with the scheduled audits in Q1, 2023, evidence submission deadlines will be extended from the current 30 days to 90 days. This change will give market participants an additional 60 days to prepare their pre-audit survey, applicable RSAWs and supporting evidence in their initial audit package submission.

This change will be reflected in the audit notification letter and the new audit schedule, which may be accessed via the following link:

- [2023 Audit Schedule¹](#)

It is important to the AESO that market participants have the requisite flexibility to manage their compliance obligations and relationships with the ECM team.

Should you have any questions or require further clarifications with respect to the foregoing, please email rscompliance@aeso.ca.

Regards, (“signed”)

Cameron Chung
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¹ Schedule does not reflect spot audit.