

Information Document

Appointment of an Agent for the Capacity Market

ID # [xxxx-xx]



Information Documents are not authoritative. Information Documents are for information purposes only and are intended to provide guidance. In the event of any discrepancy between an Information Document and any Authoritative Document(s)¹ in effect, the Authoritative Document(s) governs.

1 Purpose

This Information Document relates to the following Authoritative Document:

- Section 201.11 of the ISO rules, *Appointment of an Agent for the Capacity Market* (“Section 201.11”).

The purpose of this Information Document is to provide clarity on how the AESO has implemented incidental process and administrative changes deemed necessary as a result of the *Fair, Efficient and Open Competition Regulation* (the “FEOC Regulation”) applicable to Section 201.11.

This information document is likely of most interest to capacity market participants that appoint an agent to submit bids and offers, or parties with whom they plan to share preferential records that are not available to the public.

2 Background

The FEOC Regulation was released by the Alberta Department of Energy on June 18, 2009, and came into force on September 1, 2009. The AESO anticipates there will be amendments to the FEOC Regulation to address the capacity market.

3 Regulation Section 3 – Preferential Sharing of Records That Are Not Available to the Public

Section 201.11 identifies the requirements for the AESO’s approval of an agent for the capacity market. The AESO functions as the administrator of agency appointments whereby a capacity market participant may request the AESO approve the appointment of an agent to represent the capacity market participant in dealings with the AESO for the capacity market by completing the *Capacity Market Agency Appointment Request Form* which is located on the AESO website. (NTD: Hyperlink to be inserted. The form will be substantially similar to the *Agency Appointment Request Form* currently in use for the energy market.)

The FEOC Regulation currently prohibits the sharing of “*records that are not available to the public relating to any past, current or future price and quantity offer made to the power pool or for the provision of ancillary services.*” The AESO expects that amendments to the FEOC Regulation will extend the prohibition to records related to the capacity market. The AESO also expects that amendments to the FEOC Regulation will extend the exemptions for the sharing of records to include the sharing of records between capacity market participants where a capacity market participant appoints an agent to make submissions, including trade capacity, in the capacity market on their behalf. This agency relationship is recognized once a capacity market participant submits, and the AESO approves, an *AESO Capacity Market Agency Appointment Request Form*. If a capacity market participant wishes to appoint an agent to act on its behalf in dealings with the AESO and such relationship is otherwise prohibited by the FEOC Regulation, then the capacity market participant needs to obtain an order from the Alberta Utilities Commission under the FEOC Regulation before the AESO approves of such relationship.

Revision History

Posting Date	Description of Changes
	Initial release

¹ “Authoritative Documents” is the general name given by the AESO to categories of documents made by the AESO under the authority of the *Electric Utilities Act* and associated regulations, and that contain binding legal requirements for either market participants or the AESO, or both. AESO Authoritative Documents include: the ISO rules, the Alberta reliability standards, and the ISO tariff.