

Stakeholder Comment Matrix – March 28, 2019



Draft Proposed New ISO rule “Waivers and Variance Rule”

Period of Comment: March 28, 2019 through April 12, 2019	Contact: Dan Bamber
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Further to the next steps identified at the Stakeholder Session held on February 4, 2019, the ISO is requesting feedback on the items below. Please place your comments/reasons for position underneath (if any).

Item #		Stakeholder comments
1	Applicability Whether you agree that a <i>Waiver and Variance Rule</i> should be applicable to electricity market participants and the ISO, and why or why not.	Agree. There should be consistent applicability as this could apply to any/all MP's and ISO.
2	Scope Whether you agree that a request for a waiver or variance should be available for the Division 502 – Technical Requirements, Section 304.3, <i>Wind and Solar Power Ramp Up Management</i> and Section 304.9, <i>Wind and Solar Aggregated Generating Facility Forecasting</i> , and why or why not.	Agree

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3	<p><i>Grounds for requesting a waiver or variance</i></p> <p>Whether you agree that the grounds for requesting a waiver or variance should include one or more of the following circumstances where compliance with the requirements of the subject ISO rule:</p> <ul style="list-style-type: none"> (a) is not technically possible or is precluded by technical limitations; (b) is operationally infeasible; (c) cannot be achieved by the required compliance date regardless of good faith efforts by the market participant; (d) would pose safety risks or safety issues; (e) would conflict with a separate statutory or regulatory requirement that is applicable and cannot be waived or exempted; and (f) would require the incurrence of significant unnecessary costs or severe economic hardship. <p>Which grounds and why or why not?</p>	Apply to all.

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4	<p>Criteria for evaluating a request</p> <p>Whether you agree that the criteria the AESO should use to evaluate requests for a waiver or variance should include one or more of the following:</p> <ul style="list-style-type: none"> (a) technical feasibility; (b) operational feasibility and burden; (c) safety; (d) economics (including significant unnecessary costs to the applicant, or unfair costs to other market participants); (e) material impacts on a fair, efficient, openly competitive market; (f) whether appropriate mitigation measures, mitigation plans, or remediation plans can be or are put in place; (g) reliability of the Alberta interconnected electric system; and (h) public interest. <p>Which criteria and why or why not?</p>	Apply to all

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5	<p>Submission of information</p> <p>Whether you agree that the applicant must:</p> <ul style="list-style-type: none"> (a) make a request for a waiver or variance to the AESO in writing; (b) use the form the AESO specifies (which will require the submission of all relevant information – e.g. facility details, the relevant ISO rules and requirements, the grounds for the request and information that will allow the AESO to effectively assess the request based on the criteria for granting a request); and (c) respond to requests from the AESO for additional information, studies or analysis; <p>and why or why not.</p>	<p>Use a form developed by AESO for consistency so all parameters that must be met are identified to prevent re-work and improve efficiency and accuracy of submissions.</p>
6	<p>Evaluation process</p> <p>Whether you agree that the process for waivers and variances should be:</p> <ul style="list-style-type: none"> (a) submission of a written request; (b) acknowledgement of receipt; (c) information requests, as necessary, and applicant responses; (d) updates on progress; (e) written decision; and (f) if denied, reasons/rationale; <p>and why or why not.</p>	<p>Agree to all</p>

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7	<p>Content of a waiver or variance</p> <p>Whether you agree that the content of an approved waiver or variance must include an effective date and any of the following as applicable:</p> <ul style="list-style-type: none"> (a) conditions; (b) expiry date; (c) milestones for mitigation or remediation plans; and (d) reporting requirements; <p>and why or why not.</p>	Agree to all
8	<p>Ongoing Management</p> <p>Whether you agree that the following should apply to the ongoing management of granted waivers and variances:</p> <ul style="list-style-type: none"> (a) the applicant must notify the AESO of a material change to the facts or circumstances underlying the approval of the waiver or variance; (b) the AESO may amend or revoke a waiver or variance with at least 30 days' notice if there is a material change to the facts or circumstances underlying the approval of the variance; and (c) waivers and variances may be transferred to a new legal owner; <p>and why or why not.</p>	Agree to all

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9	<p>Confidentiality of requests and decisions</p> <p>Whether you agree that requests for a waiver or variance, and any resulting decision should remain confidential, subject to Section 103.1 of the ISO rules, <i>Confidentiality</i>, and why or why not.</p>	<p>Agree to all</p>
10	<p><i>Treatment of waivers and variances language in current ISO rules</i></p> <p>Which of the following 3 options you support, if any, for dealing with the waivers and variances language in current ISO rules, why or why not, or suggestions for alternative options.</p> <p><i>Issue:</i></p> <p>Various waivers and variances language is currently found in the majority of Division 502 ISO rules, including:</p> <p>“2(2) The functional specification referred to in subsection 2(1) must be generally consistent with the provisions of this section 502.X, but may contain material variances approved of by the ISO based upon its discrete analysis of any one or more of the technical, economic, safety, operational and reliability requirements of the interconnected electric system related to the specific facility project.”</p> <p><i>Options:</i></p> <p>The AESO is of the opinion that there are 3 main options for dealing with this language.</p> <ol style="list-style-type: none"> 1. Leave the current language in the ISO rules. As long as there is no conflict, duplication is not problematic. 2. Amend the ISO rules over time. As long as there is no conflict, duplication is not problematic. However, for the sake of clarity and consistency, when the subject ISO rules are open under other future 	<p>Choose #3 so there is no duplication. All applicable rules should be updated as required in a timely manner so the process is clear when this new ISO Rule becomes effective.</p>

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	<p>development initiatives, the AESO would propose the removal of the duplicate provisions.</p> <p>3. Amend in conjunction with the proposed new ISO rule and propose the deletion of the duplicate provisions. While duplication is not problematic, for the sake of clarity and consistency, the AESO would propose to delete the waivers and variances language in the Division 502 ISO rules at the same time as making the application for approval of the proposed Waivers and Variances Rule.</p>	
11	<p>Other</p> <p>Please provide any other feedback or suggestions you have on the proposed Waiver and Variance Rule.</p>	

Information Document - The AESO intends to develop an information document to accompany the proposed Waiver and Variance Rule. At a minimum, the AESO suggests that such an information document would contain a template submission form, contact information, and examples of the eligible grounds and criteria. Please provide your views on the type of content that should be included in an information document associated with the proposed Waiver and Variance Rule.