

ISO Rules: Agent Appointment Request Form



1. Request Pursuant to ISO Rule 201.2

_____ (the "Applicant") and _____ (the "Nominee") hereby request the approval of the ISO for the appointment of the Nominee as the Applicant's **agent** for certain matters related to **ISO rule 201.2**¹.

2. Representations of the Applicant:

- a. The Applicant represents:
 - i. It is a **market participant**;
 - ii. It is a **pool participant**;
 - iii. It is duly registered to carry on business in Alberta;
 - iv. All records and other information provided to the **ISO** are and will be true and correct to the best of its knowledge; and
 - v. the Applicant will be bound by and fully responsible for acts and omissions of its Nominee as **agent**.
- b. The Applicant further represents it will be sharing **records**, as defined in the *Fair, Efficient and Open Competition Regulation* ("FEOC Regulation"), with the Nominee:

Please indicate all that apply:

- for the purpose of responding to energy and ancillary services dispatches and directives through the Applicant's Automated Dispatch and Messaging System (ADaMS) account.
- pursuant to an exception as set out in section 3(2) of the FEOC Regulation.
- pursuant to section 3(3) of the FEOC Regulation, and that an order from the Alberta Utilities Commission permitting such **records** sharing has been obtained and is attached;

- c. Permissions Granted to the Nominee:

The proposed appointment of the Nominee includes the consent by the Applicant for the Nominee for the following listed service(s):

- i. View Applicant confidential information and **records**
 no yes
- ii. Delegation to the Nominee of the Applicant's **ISO** information access permissions (i.e., Nominee will be able to revoke and grant digital certificate authorizations)
 no yes

¹ Bolded, non-italicized words or phrases have the meaning provided in the **ISO rules**.

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- iii. Access the Energy Trading System (ETS) to obtain pool statements related to the Applicant's financial settlement matters with the ISO
 no yes
 - iv. Net Settlement Instruction Registration on behalf of Applicant
View no yes Modify no yes
 - v. Provide **Bid/Offer** Submissions for Applicant's Assets in the Energy Trading System (ETS) (includes energy and ancillary services)
View no yes Modify no yes
 - vi. Respond to dispatches and directives through the Automated Dispatch and Messaging System (ADaMS) (includes energy and ancillary services)
 no yes
 - vii. Schedule A of this Form lists any other specific conditions, restrictions or covenants of the Agency Agreement or relationship between the Applicant and the Nominee that the ISO must be made aware of which impacts on the Nominee's authority to bind the Applicant for the purposes of ISO rule 201.2.
- d. The contact information for the Applicant is as follows:
- Applicant's Legal Name: _____
- Applicant's Business Name (if different from Legal Name): _____
- Mailing Address: _____
- Individual Contact of Applicant**
- Name: _____ Title: _____
- Telephone Number: _____ Email: _____

3. Representations of the Nominee

- a. The Nominee represents as follows:
 - i. It is duly registered to carry on business in Alberta; and
 - ii. The following is a complete list of all **affiliates** of the Nominee who are either a **pool participant** or an **agent**:

(complete and list)

Affiliates

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b. The contact information for the Nominee is as follows:

Nominee's Legal Name: _____

Nominee's Business Name (if different from Legal Name): _____

Mailing Address: _____

Individual Contact of Nominee

Name: _____ Title: _____

Telephone Number: _____ Email: _____

4. Joint Representations and Acknowledgements of the Applicant and the Nominee

a. The Applicant and Nominee jointly represent and acknowledge as follows:

- i. The proposed start date and proposed end date of the Applicant's appointment of the Nominee for the purposes of **ISO rule 201.2** will commence _____ and expire _____;
- ii. The Applicant must notify the **ISO** at least 30 days prior to the termination of the appointment of the Nominee as **agent** for the Applicant, if the agency is terminated on a date prior to the expiry date set out under subsection 4.a.i. above;
- iii. Termination of the Nominee as agent for the Applicant will occur within 3 business days of the expiry date set out under subsection 4(a)(i) unless the Applicant and Nominee submit a revised Agent Appointment Request Form at least 30 days prior to the expiry date;
- iv. The Applicant authorizes the **ISO** to provide the Nominee with a digital certificate and/or ADaMS account which grants the right to, and enables the Nominee to, view certain confidential **records** and information of the Applicant;
Please choose all that apply:
 - Digital Certificate for the Energy Trading System (ETS)
 - Automated Dispatch and Messaging System (ADaMS) Account
- v. The Applicant and the Nominee must submit a revised Agent Appointment Request Form to the **ISO** if any changes occur to the foregoing information and/or eligibility under either Section 3(2) or 3(3) of the FEOC Regulation, as the case may be.

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5. Nominee's Confirmation and Agreement

The Nominee hereby agrees with and confirms to the **ISO** the provisions of Sections 3 and 4 above are true and correct to the best of its knowledge, and it has entered into an agreement with the Applicant to provide the above referenced services and to act as its Agent.

Nominee: _____

per: _____

Name/Title: _____

Date: _____

6. Applicant's Confirmation and Agreement

The Applicant hereby agrees with and confirms to the **ISO** the provisions of Sections 2 and 4 above are true and correct to the best of its knowledge and it has entered into an agreement with the Nominee for the provisions of the above referenced services as **Agent** of the Applicant.

Applicant: _____

per: _____

Name/Title (an authorized officer of the Applicant): _____

Date: _____

The collection of this personal information is permitted under Section 33(a) & (c) of the *Freedom of Information and Protection of Privacy Act* and is authorized under the *Electric Utilities Act*. The information collected is required and necessary to determine eligibility as a pool participant and eligibility to use an agent to participate in market activities. If you have any questions about the collection or use of this information, please contact the Access and Privacy Team Lead, Legal, 26th Floor, 2500, 330 – 5th Ave SW, Calgary, Alberta, T2P 0L4 or by telephone at 403-539-2528.

ISO Approval

The **ISO**, pursuant to **ISO rule** 201.2 and based on the representations of each of the Applicant and the Nominee being true and correct to the best of their knowledge, hereby approves of the appointment of the Nominee to act as **agent** on behalf of the Applicant.

Independent System Operator, operating as the AESO

per: _____

Name/Title: _____

Date: _____

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Schedule A of the Agent Appointment Request Form

Section 2(c)(vii) specific restrictions, conditions, or covenants:

(1)

(2)

(3)