

# Pool Participant Application Form

## Applicant

\_\_\_\_\_ (insert company legal name) (the "Applicant")  
 hereby applies to the ISO to be registered as a pool participant.

Applicant's Operating Name (if different from the legal name above):

\_\_\_\_\_

## Eligibility

The Applicant is applying for registration as a pool participant by virtue of its status as (check one or more, as applicable):

- legal owner of a generating unit
- legal owner of an industrial system pursuant to the Hydro and Electric Energy Act
- ancillary service provider (system support services that include operating reserves, transmission must run, black start, and load shed scheme services)
- PPA buyer (In August 2000 government auctions rights to market generator output. Contracts to sell output were called Power Purchase Arrangements).
- retailer (competitive)
- retailer (regulated rate provider)
- market segment
  - residential
  - farm
  - small commercial – industrial ( $\leq 250\text{MW/h}$  per year)
  - large commercial – industrial ( $> 250\text{MW/h}$  per year)
- self-retailer (buys electricity at wholesale prices directly from the Power Pool)
- default supplier (per the *Alberta Utilities Commission Rule 021 Settlement System Code*)
- marketer (an importer, exporter or person trading within Alberta, registering net settlement instructions)
- other (subject to specific ISO approval) \_\_\_\_\_(provide details)

## Assets

The Applicant has completed and attached the applicable Asset Addition Form available on the AESO website.

## Prudential Requirements

The Applicant has reviewed and fully understands the prudential requirements of the ISO rules.

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## Metering and System Access Service Requirements

The Applicant intends to (check any that apply):

- Act as an importer and/or exporter.
- Receive transmission services from the ISO (or has obtained an Electric Utilities Act section 101 letter from the legal owner of the electric distribution system).

If either of these boxes are checked, the Applicant must obtain system access service in accordance with the ISO tariff.

## Agent Appointment Request Form

The Applicant:

- Is appointing an agent and has attached a completed Agent Appointment Request Form.  
or
- Is not appointing an agent.

## GST Number

Exchanges in the power pool are transactions to which Goods and Services Tax (GST) applies. A GST registration number is required for the Applicant unless the Applicant is GST exempt.

- GST exempt.  
or
- Not exempt and the Applicant's GST number is \_\_\_\_\_.

## Annual Participation Fee

The Applicant has enclosed with this application its first annual pool participation fee in accordance with the ISO Fee Schedule.

## Contact Information

### Address for Notices

Mailing Address: \_\_\_\_\_

Main Telephone Number: \_\_\_\_\_

Main Fax Number: \_\_\_\_\_

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## Corporate Contact

(for purposes of the Energy Trading System, i.e., authorizing digital certificate requests and revocations, updates to contact information, address changes, etc.)

Contact Name: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

## Financial Settlement Contact

Contact Name: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

## Banking Information

The Applicant has completed and attached the Request for Banking Information form available on the AESO website.

## Applicant's Confirmation and Agreement

DATED the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Applicant Name: \_\_\_\_\_

Per: \_\_\_\_\_

The collection of this personal information is permitted under Section 33(a) & (c) of the *Freedom of Information and Protection of Privacy Act* and is authorized under the *Electric Utilities Act*. The information collected is required and necessary to determine eligibility as a pool participant for energy submissions and to participate in market activities. If you have any questions about the collection or use of this information, please contact the Access and Privacy Team Lead, Legal, 26th Floor, 2500, 330 – 5th Ave SW, Calgary, Alberta, T2P 0L4 or by telephone at 403-539-2528.

### For AESO use only

Application has been approved. DATED the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

PER: \_\_\_\_\_

Application has been denied. DATED the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

PER: \_\_\_\_\_