

# ISO Rules

## Part 200 Markets

### Division 201 General

#### Section 201.15 Delisting



External Consultation Draft  
October 22, 2018

#### Applicability

- 1 Section 201.15 applies to:
  - (a) a **capacity market participant**;
  - (b) a **pool participant**;
  - (c) the **legal owner** of a **generating unit** or **aggregated generating facility** where such **generating unit** or **aggregated generating facility** is the subject of a permanent delist notification; and
  - (d) the **ISO**.

#### Requirements

##### Request to Temporarily Delist for Economic Reasons

**2(1)** A **capacity market participant** may, within the timelines specified in the *Capacity Market Auction Guidelines* for the last **rebalancing auction** and in the manner the **ISO** specifies, submit to the **ISO** a request to temporarily delist an asset or portion of such asset for the **obligation period** for economic reasons.

- (2)** A **capacity market participant** must, in the request referred to in subsection 2(1), submit:
  - (a) an attestation from a corporate officer of the **pool participant**:
    - (i) that the **pool participant** confirms that if the request is approved by the **ISO**, the **delist outage** in the energy market in the **obligation period** will total greater than 210 **days** such that participation in the energy market is for a continuous period of 155 **days** or less;
    - (ii) the MW volume of the asset that will be subject to a **delist outage** in the energy market; and
    - (iii) the start date and the end date of the **delist outage** referred to in 2(2)(b)(i);
  - (b) the **avoidable costs** associated with the **delist outage** referred to in subsection 2(2)(a);
  - (c) any information necessary for the **ISO** to calculate the energy and ancillary services offset in accordance with subsection 3(3);
  - (d) an attestation from a corporate officer of the **legal owner** of the asset that the **avoidable costs** and information referred to in subsections 2(2)(b) and 2(2)(c), respectively, are accurate; and
  - (e) any other information the **ISO** specifies as it relates to the request to temporarily delist an asset for economic reasons.

##### ISO Review and Approval of Request to Temporarily Delist for Economic Reasons

**3(1)** The **ISO** must request additional information from the **capacity market participant** concerning the information provided in accordance with subsection 2(2)(d) where the **ISO** determines such information appears unreasonable.

# ISO Rules

## Part 200 Markets

### Division 201 General

#### Section 201.15 Delisting



(2) The **ISO** must exclude all or a portion of the **avoidable costs** submitted pursuant to subsection 2(2)(b) where the **ISO** determines such costs are unreasonable, after requesting additional information in accordance with subsection 3(1).

(3) The **ISO** must calculate the energy and ancillary services offset, as applicable, for the asset during the **obligation period** using the methodology set out in Section 206.11 of **ISO rules**, *Energy and Ancillary Services Offset for Assets*.

(4) The **ISO** must, if it approves a request pursuant to subsection 2(1), provide the **capacity market participant**, within the timelines specified in the *Capacity Market Auction Guidelines* for the last **rebalancing auction**, with a price based on the remaining **avoidable costs** submitted in accordance with subsection 2(2)(b) that have not been excluded in accordance with subsection 3(2), net of the energy and ancillary services offset calculated in accordance with Section 206.11 of **ISO rules**, *Energy and Ancillary Services Offset for Assets*.

#### Request to Temporarily Delist due to Physical Limitation, Operational Limitation or Delay in Commercial Operation

**5(1)** A **capacity market participant** may, within the timelines specified in the *Capacity Market Auction Guidelines* and in the manner the **ISO** specifies, submit to the **ISO** a request to temporarily delist an asset or portion of such asset from the capacity market for the **obligation period** if the asset will be subject to a derate or an outage for a period greater than or equal to 150 continuous **days** in the **obligation period** due to a physical limitation, operational limitation or a delay in **commercial operation** of the asset.

(2) A **capacity market participant** must submit the following information to the **ISO** in the request referred to in subsection 5(1):

- (a) a description of the physical or operational limitation;
- (b) a description of any major repairs required to rectify the physical or operational limitation;
- (c) if applicable, an order, decision, final rule, opinion or final directive from a regulatory authority specifically mandating the derating of the asset; and
- (d) an attestation from a corporate officer of the **legal owner**:
  - (i) certifying that the **new capacity**, refurbished **capacity** or incremental **capacity** will not be in **commercial operation** prior to the **obligation period**; or
  - (ii) confirming the physical limitation of an existing asset.

(3) A **capacity market participant** must, in the request referred to in subsection 5(1), submit:

- (a) confirmation from a corporate officer of the **pool participant**:
  - (i) that the **pool participant** confirms that if the request is approved by the **ISO**, the **delist outage** in the energy market will be for a continuous period in the **obligation period** which must be greater than 150 days;
  - (ii) the MW volume of the asset that will be subject to a **delist outage** in the energy market;
  - (iii) a description of the physical or operational limitation of the asset; and
  - (iv) the start date and the end date of the **delist outage** referred to in 5(2)(c)(i);and
- (b) any other information the **ISO** specifies as it relates to the request to temporarily delist the asset.

# ISO Rules

## Part 200 Markets

### Division 201 General

#### Section 201.15 Delisting



#### ISO Approval of Request to Temporarily Delist due to a Physical or Operational Limitation

**6(1)** The **ISO** must approve a request to temporarily delist an asset due to a physical or operational limitation if:

- (a) the **ISO** is satisfied that the request referred to in subsection 5(1) is complete; and
- (b) the **delist outage** referred in subsection 5(2)(a) is greater than 150 continuous days in the **obligation period**.

#### Delist Outage

**7(1)** A **pool participant** must submit a **delist outage** that corresponds to the outage declared in accordance with subsection 2(2)(a) if the **offer** referred to in subsection 4 does not clear in the last **rebalancing auction**.

**(2)** A **pool participant** must not submit a **delist outage** that corresponds to the outage declared in accordance with subsection 2(2)(a) if the **offer** referred to in subsection 4 clears in the last **rebalancing auction**.

**(3)** A **pool participant** must, if the **ISO** approves a request pursuant to subsection 6, submit a **delist outage** that corresponds to the outage declared in accordance with subsection 5(2)(a).

#### Request to Change Delist Outage

**8** A **pool participant** must submit a request to the **ISO** to change the **delist outage** submitted in accordance with subsection 7(3).

#### Restriction on Ability to Temporarily Delist

**9** A **capacity market participant** must not temporarily delist an asset:

- (a) for economic reasons for more than 2 consecutive **obligation periods**; or
- (b) for physical limitation, operational limitation or delay in commercial operation for more than 2 consecutive **obligation periods**.

#### Permanent Delist Notification

**10(1)** A **capacity market participant** may, in accordance with the timelines established in the *Capacity Market Auction Guidelines* for the **base auction** or the first **rebalancing auction** for an **obligation period**, and in the manner the **ISO** specifies, submit to the **ISO** a notification to permanently delist an asset or portion of such asset.

**(2)** A **capacity market participant** must, in the notification referred to in subsection 10(1), submit:

- (a) the MW volume from the asset that the **capacity market participant** is permanently delisting; and
- (b) in the case of a **generating unit, aggregated generating facility or energy storage facility**:
  - (i) an attestation from a corporate officer of the **pool participant**:
    - (A) that the **pool participant** confirms that the MW volume referred to in subsection 10(2)(a) will be removed from the energy market on or before the first **day** of June in the **obligation period**; and

# ISO Rules

## Part 200 Markets

### Division 201 General

#### Section 201.15 Delisting



- (B) the date that the MW volume from the asset will be removed from the energy market.
- (ii) an attestation from a corporate officer of the **legal owner**:
  - (A) that the **legal owner** confirms that the MW volume referred to in subsection 10(2)(a) will be removed from the energy market on or before the first **day** of June in the **obligation period**; and
  - (B) the date that the MW volume from the asset will be removed from the energy market.

**(3)** A **capacity market participant** may not revoke a notification to permanently delist after it has been submitted to the **ISO** in accordance with subsections 10(1) and 10(2), unless otherwise agreed to by the **ISO**.

#### Publication Requirement

**11** The **ISO** must publish on the AESO website in accordance with the timelines established in the *Capacity Market Auction Guidelines* for a **base auction** or **rebalancing auction**, as applicable, the volume of approved temporary delist requests and permanent delist notifications on an aggregate basis in categories the **ISO** specifies in the *Capacity Market Auction Guidelines*.

#### Revision History

| Date       | Description     |
|------------|-----------------|
| yyyy-mm-dd | Initial release |