

# ISO Rules

## Part 200 Markets

### Division 203 Energy Markets

#### Section 203.3 Energy Restatements



**External Consultation Draft**  
**August 31, 2018**

#### Applicability

1 Section 203.3 applies to:

- (a) a **pool participant**;

when participating in the energy market.

#### Requirements

##### Available Capability Restatements for Offers

**2(1)** A **pool participant** must only submit an **available capability** restatement revising the **available capability** of a **source asset** or a load sink asset if such revision is:

- (a) as a result of an **acceptable operational reason**;
- (b) in relation to an **operational deviation** and required under ~~subsection 5(3) of s~~Section 203.4 of the **ISO rules**, *Delivery Requirements for Energy*; ~~or~~
- (c) in order to reflect the output of the **source asset** which is restricted during either ~~one (1)~~ or both of **commissioning** and testing under ~~s~~Section 505.3 of the **ISO rules**, *Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing* or under ~~s~~Section 505.4 of the **ISO rules**, *Coordinating Operational Testing*; ~~or~~
- (d) in order to reflect the output of the load sink asset which is restricted during either 1 or both of commissioning and testing under Section 504.3 of the ISO rules, Coordinating Energization, Commissioning, and Ancillary Services Testing or under Section 504.4 of the ISO rules, Coordinating Operational Testing.

**(2)** A **pool participant** that submits an **offer** must, if there is a change to the **available capability** of the **source asset** or load sink asset as a result of any of the circumstances outlined in ~~subsections~~subsection 2(1)(a), (b) or (c), submit an **available capability** restatement revising the **available capability** for the applicable hours, as soon as reasonably practicable.

**(3)** A **pool participant** must submit the reason or reasons for submitting an **available capability** restatement for a **source asset** or a load sink asset.

##### Price Restatements for Offers

**3** A **pool participant** that submits an **offer** may submit a price restatement but must only do so prior to ~~two (2)~~ hours before the start of a **settlement interval**.

##### MW Restatements for Offers

**4(1)** A **pool participant** that submits an **offer** may submit a MW restatement prior to ~~two (2)~~ hours before the start of a **settlement interval**.

**(2)** A **pool participant** that submits an **offer** must submit a MW restatement redistributing the MW to represent the operating state of the **source asset** or load sink asset, as soon as reasonably practicable, if the **source asset** or load sink asset cannot comply with the current **offer** ~~whereas a result of~~:

- ~~(a) either~~
- ~~(i) an acceptable operational reason; or~~

# ISO Rules

## Part 200 Markets

### Division 203 Energy Markets

#### Section 203.3 Energy Restatements



~~(ii) an **operational deviation** and such restatement is required under subsection 5(3) of section 203.4 of the ISO rules, *Delivery Requirements for Energy*; and~~

(a) an **available capability** restatement under subsection 2 cannot reasonably accommodate the operating state of the **source asset's** or **load sink asset** and the operating state is a result of an:

(i) **acceptable operational reason**; or

(ii) **operational deviation** and such restatement is required under Section 203.4 of the ISO rules, *Delivery Requirements for Energy*;

(b) the **source asset** is carrying out either ~~one (1)~~ or both of **commissioning** and testing under ~~s~~Section 505.3 of the **ISO rules**, *Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing* or under ~~s~~Section 505.4 of the **ISO rules**, *Coordinating Operational Testing*; or

(c) the **load sink asset** is carrying out either 1 or both of **commissioning** and testing under section 504.3 of the **ISO rules**, *Coordinating Energization, Commissioning, and Ancillary Services Testing* or under section 504.4 of the **ISO rules**, *Coordinating Operational Testing*.

(3) A **pool participant** must submit the reason or reasons for submitting a MW restatement in accordance with subsection 4(2)(a) if such MW restatement is submitted within ~~two (2)~~ hours of the start of the **settlement interval** or within the current **settlement interval**.

(4) If a **pool participant** is responding to the **ISO** under ~~subsections~~ subsections 2(2)(c) and 2(2)(d) of ~~s~~Section 202.5 of the **ISO rules**, *Supply Surplus*, then the **pool participant** must submit a restated **offer** for its **source asset** within the current hour which reduces the quantity of only the ~~zero dollar (\$0)~~ \$0.00 **operating block** of the **source asset**.

#### Minimum Stable Generation Restatements for Offers

5(1) A **pool participant** that submits an **offer** for a **generating source asset** must, if there is a change to the **minimum stable generation** as a result of any **acceptable operational reason**, submit a **minimum stable generation** restatement for the **generating source asset** for the applicable hours, as soon as reasonably practicable.

(2) If the restated **minimum stable generation**:

(a) is lower than the previously submitted **minimum stable generation**; and

(b) the restatement is submitted within ~~two (2)~~ hours of the start of the **settlement interval**,

then the **pool participant** ~~is prohibited from restating~~ must not restate the MW of the **operating block** with the lowest **offer** price for the **generating source asset**.

(3) If the restated **minimum stable generation**:

(a) is higher than the previously submitted **minimum stable generation**; and

(b) the restatement is submitted within ~~two (2)~~ hours of the start of the **settlement interval**,

then the **pool participant**, when restating an increase to the MW of the **operating block** with the lowest **offer** price for the **generating source asset** in order to comply with ~~subsection 3(4)(b) of s~~Section 203.1 of the **ISO rules**, *Offers and Bids for Energy*, must take any such additional MW from the **operating blocks** with the next highest **offer** price in ascending order.

# ISO Rules

## Part 200 Markets

### Division 203 Energy Markets

#### Section 203.3 Energy Restatements



(4) A **pool participant** must submit the reason or reasons for submitting a **minimum stable generation** restatement under subsection 5(1).

#### Price Restatements for Bids

6 A **pool participant** that submits a **bid** may submit a price restatement but must only do so prior to ~~two (2)~~ hours before the start of a **settlement interval**.

#### MW Restatements for Bids

7(1) A **pool participant** that submits a **bid** may submit a MW restatement prior to ~~two (2)~~ hours before the start of a **settlement interval**.

(2) A **pool participant** that submits a **bid** must submit a MW restatement redistributing, or in the case of exports reducing, the MW to represent the operating state of the **sink asset**, as soon as reasonably practicable, if the **sink asset** cannot comply with the current **bid** as a result of an **acceptable operational reason**.

(3) A **pool participant** must submit the reason or reasons for submitting a MW restatement under subsection 7(2) if such MW restatement is submitted within ~~two (2)~~ hours of the start of the **settlement interval** or within the current **settlement interval**.

(4) If a **pool participant** is responding to the **ISO** under subsection 2(2)(b) of ~~s~~Section 202.5 of the **ISO rules**, *Supply Surplus*, then the **pool participant** must submit a MW restatement for its **bid** for the applicable **sink asset** in the current hour, increasing the MW of the export **interchange transaction**.

#### Revision History

<u>EffectiveDate</u>	<u>Description</u>
<del>xxxx-xx-xx</del>	<del>Revised to accomodate load assets and administrative revisions.</del>
2014-07-02	Corrected typos in subsection 2(1)(b) by updating the references to be “section 203.4” of the ISO rules instead of “section 203.3”.
2013-11-08	Amended subsection 4(2), added clarity to “the operating block” in subsections 5(2) and 5(3), and corrected a subsection reference.
2013-01-08	Initial Release