Contract Execution and Effective Timing - connection project (requires NID filing)

Start → Stage 3 prior to NID filing

Contract conditions required?

- **No** → "Execute" contract without conditions **3.6(1)** → Executed contract (w/o conditions)
- **Yes** → Market participant provides conditions[1] to AESO → Market participant & AESO negotiate conditions → **AESO accepts conditions?**
  - **No** → (back to negotiate conditions)
  - **Yes** → "Execute" contract with conditions **3.6(1)** → Executed contract (with conditions)

[1] *Outside of MP control (Ex. permits, NOT financial, etc.)*

MP provides anticipated dates for permits

Left branch (without conditions)

"Execute" contract without conditions **3.6(1)** → **MP prepays GUOC & adv. costs (if applicable)?**

- **No** → NID filed & approved; AUC issues P&L → P&L
  - By 1st day of month 30 days post P&L → GUOC & advancement costs paid, if applicable **3.6(4)** → "Certainty" achieved **3.6(3)** → Fully "effective" and "executed" contract → End

- **Yes** → "Certainty" achieved **3.6(3)** → Fully "effective" and "executed" contract
  - "Certainty" achieved **3.6(3)** → End

Right branch (with conditions)

NID filed & approved; AUC issues P&L → P&L

Conditions removed within 30 days of P&L?

- **Yes** → GUOC & advancement costs paid, if applicable **3.6(4)**
- **No** → Market participant provides monthly update to AESO (including dates)

One year since P&L issued?

- **Yes** → AESO to cancel or amend NID; no payments ∴ no refunds → "Certainty" not achieved **3.6(3)** → NULL & VOID "Executed contract" → End
- **No** → Change ISD, if necessary

Conditions removed?

- **No** → (back to Market participant provides monthly update to AESO)
- **Yes** → **GUOC & adv. costs applicable? 3.6(4)**
  - **No** → "Certainty" achieved **3.6(3)** → Fully "effective" and "executed" contract → End
  - **Yes** → **GUOC & adv. costs paid?**
    - **Yes** → "Certainty" achieved **3.6(3)** → Fully "effective" and "executed" contract → End
    - **No** → **MP or AESO cancels SASR[2]?**
      - **No** → End
      - **Yes** → AESO requests NID cancellation; no refunds[3] → NULL & VOID "Executed contract"

[2] *MP may decide to not move forward with project*

AESO may cancel the SASR if GUOC/adv. costs not paid in full. The MP must make construction contributions as dictated by the CCD(s)

[3] *No refunds of customer contributions*

LEGEND

Action	Decision	Document

Preliminary Draft for Discussion Only (watermark)