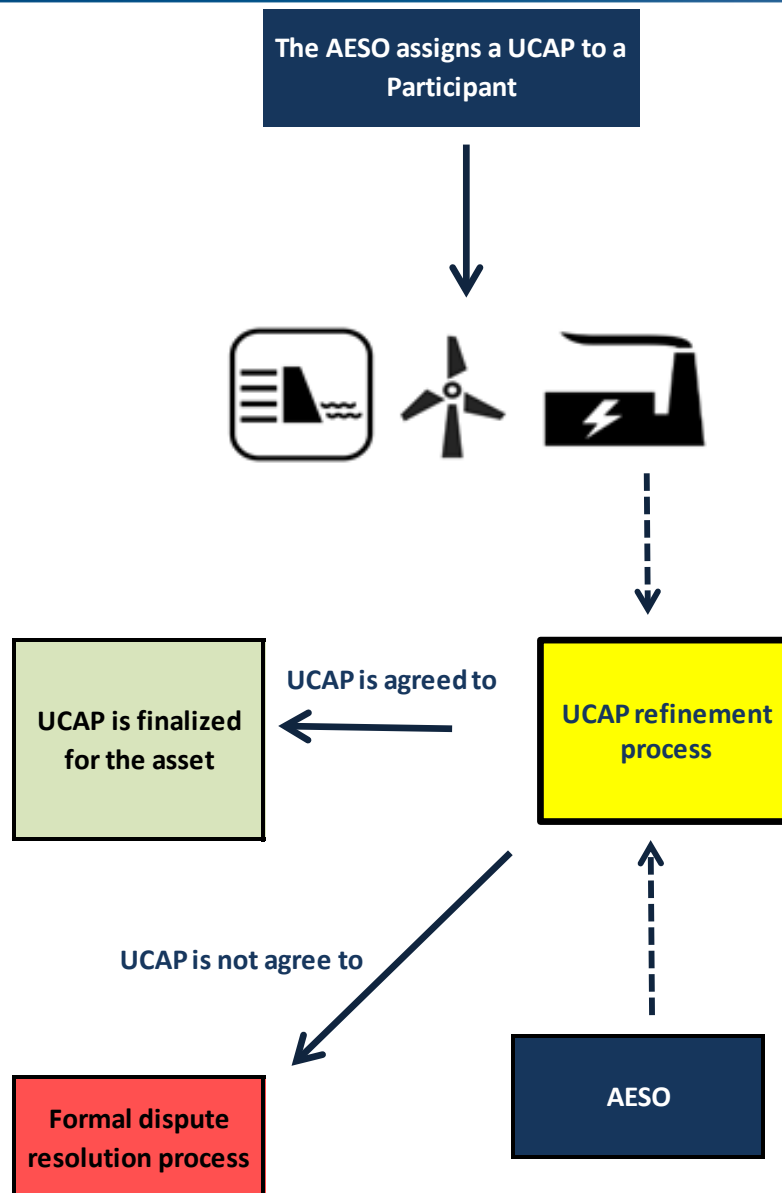


# UCAP Refinement Process

# UCAP Refinement Process

- **UCAP refinement process**

- The process begins when a market participant does not accept the initial UCAP determination for their asset. The participant may escalate into the UCAP refinement process.
- The UCAP refinement process may adjust the initial AESO determined UCAP or ranges based on the list of allowable reasons.
- If a settlement is not reached after this stage, the formal dispute resolution process is started.
- The AESO is proposing that the final decision maker for the formal dispute resolution process be an independent third party with technical expertise.



# UCAP Refinement Process

Once the initial UCAP is assigned the market participant may initiate the UCAP refinement process

The process would involve the AESO and the participant reviewing the potential for adjustments to the assigned UCAP due to the following reasons:

- **Incorrect methodology (CF vs. AF)**
- **Metering data or ETS data disputes**
- **Computational errors**
- **Physical changes in the asset**
- **Class average data**
- **Transmission outages**

