

#### Purpose

**1** The purpose of this **reliability standard** is to provide a mechanism for a **market participant** to request, and for the **ISO** to approve, appropriate waivers and variances to specific requirements of a **reliability standard**.

#### Applicability

- 2** This **reliability standard** applies to:
- (a) a **market participant**, including:
    - (i) a **legal owner**; and
    - (ii) an **operator**; and
  - (b) the **ISO**.

#### Requirements

##### Applicable reliability standards

**3(1)** The **ISO** must consider a request for either one or both of a waiver and variance to any requirement in the **reliability standards**, provided that the requirement does not have its own separate mechanism for requesting and approving a waiver or variance.

**(2)** The **ISO** may either grant, in whole or in part, or deny a request for a waiver or variance submitted in accordance with this **reliability standard**.

##### Grounds for requesting a waiver or variance

**4(1)** A **market participant** may request either one or both of a waiver and variance to any of the requirements set out in the **reliability standards**.

**(2)** A **market participant** must provide grounds for requesting a waiver or variance which must include one or more of the following circumstances where compliance with the requirements of the **reliability standard**:

- (a) is not technically possible or is precluded by technical limitations;
- (b) is operationally infeasible;
- (c) is operationally unnecessary to achieve the intended purpose or outcome of the **reliability standard**;
- (d) cannot be achieved by the required compliance date regardless of good faith efforts by the **market participant** which does not include a failure to appropriately plan;
- (e) would pose a safety risk or safety issue;
- (f) would conflict with a separate statutory or regulatory requirement that is applicable and cannot be waived or exempted;
- (g) would require the incurrence of costs that significantly outweigh the benefits achieved or would result in severe economic hardship;
- (h) could be achieved in an alternate timeframe that is reasonable to consider in light of other relevant factors, including upcoming scheduled maintenance, and anticipated facility upgrades;
- (i) would have suboptimal results compared with the use of alternate technology that would meet or exceed the objectives of the subject **reliability standards**; and
- (j) does not allow for testing the application of technology that was not considered during the development of the requirements.

#### Criteria for evaluating a request

**5** The **ISO** must be satisfied that the grounds provided are sufficient and use one or more of the following criteria to evaluate any request for a waiver or variance:

- (a) technical feasibility;
- (b) operational feasibility and burden;
- (c) safety;
- (d) economic impacts;
- (e) material impacts on a fair, efficient, and openly competitive market;
- (f) whether appropriate mitigation measures, mitigation plans, or remediation plans can be or are put in place; and
- (g) the **reliability** of the **interconnected electric system**.

#### Submission of Information

**6** A **market participant** must:

- (a) make a request for a waiver or variance to the **ISO** in writing;
- (b) respond to requests from the **ISO** for additional information or for the submission of a revised request; and
- (c) advise the **ISO** as soon as practicable upon becoming aware of a material change in the facts or circumstances underlying a request.

#### Evaluation Process

**7** The **ISO** must:

- (a) acknowledge receipt of a request for a waiver or variance;
- (b) request any additional information it requires to complete the evaluation of the request;
- (c) provide updates on progress;
- (d) provide a written decision to the **market participant**; and
- (e) if it denies the request, give reasons.

#### Content of a waiver or variance

**8** The **ISO** must include the effective date in an approved waiver or variance and any of the following as applicable:

- (a) expiry date;
- (b) mitigation or remediation plans, including milestones;
- (c) reporting requirements; and
- (d) any other terms and conditions the **ISO** considers necessary.

#### Ongoing management of a waiver or variance

**9(1)** A **market participant** must, as soon as reasonably practicable, notify the **ISO** of any material change to the facts or circumstances underlying the approval of a waiver or variance.

# Alberta Reliability Standards

## ADM-002-AB-1

### Waivers and Variances



- (2) A **market participant** may transfer a waiver or variance with the **ISO's** written consent which consent will not be unreasonably withheld.
- (3) The **ISO** may amend or revoke a waiver or variance upon reasonable notice if:
- (a) there is a material change to the facts or circumstances underlying the approval of the waiver or variance; or
  - (b) the **market participant** does not fulfill the terms or conditions of the approval.

#### Revision History

Date	Description
2021-04-22	Initial release.