

# ISO Rules

## Part 100 General

### Division 103, Administration

#### Section 103.6 ISO Fees and Charges



#### Applicability

- 1 Section 103.6 applies to:
  - (a) a **market participant**;
  - (b) a **pool participant**;
  - (c) a load settlement agent; and
  - (d) the **ISO**.

#### Requirements

#### Schedule of ISO Fees

- 2 The **ISO** must publish the *Schedule of ISO Fees* on the AESO website.

#### Fee for Records Requests

**3(1)** A **market participant** may make a request to the **ISO** for a copy of any records of the **market participant** which may be in the **ISO's** possession.

**(2)** A **market participant** must pay a fee for any request for records made in accordance with subsection 3(1).

#### Fee for a Digital Certificate

**4** A **pool participant** must pay an annual fee to obtain a digital certificate that enables the **pool participant** to access the Energy Trading System.

#### Energy Market Trading Charge Payment

**5(1)** The **ISO** must calculate, on or before January of each calendar year, an energy market trading charge, as follows:

the energy market related cost and expenses for the **ISO** and the **Commission**, and the costs and expenses of the **Market Surveillance Administrator**;

divided by

the total estimated number of MWhs of energy forecast to be traded in the **power pool** in the calendar year.

**(2)** The **ISO** may, notwithstanding the timing requirements in subsection 5(1), amend the energy market trading charge during the calendar year.

**(3)** The **ISO** must notify **market participants** of any amendments pursuant to subsection 5(2) no less than **30 days** in advance of the amended energy market trading charge coming into effect.

**(4)** A **pool participant** must pay the **ISO** the energy market trading charge for each MWh of energy the **pool participant** purchases or sells through the **power pool** in a **settlement period**, that is equal to:

the energy market trading charge calculated under subsection 5(1)

multiplied by

the total MWh of energy for the hour for each **pool asset** of the **pool participant**, which is the greater of:

- (a) the **metered energy** the **pool participant** exchanged through the **power pool** for a **settlement period**; and

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- (b) the volumes of any registered **net settlement instructions** of the **pool participant**.

#### Charge for Energy from a Long Lead Time Asset Net Costs

**6(1)** A **pool participant** must pay to the **ISO** a pro rata charge to recover any **incremental generation costs**, net of energy receipts revenue paid by the **ISO** in accordance with subsection 5 of Section 103.4 of the **ISO rules**, *Power Pool Financial Settlement*, if:

- (a) the **ISO** must pay any **pool participant** for energy from a **long lead time asset** in accordance with subsection 5 of Section 103.4 of the **ISO rules**, *Power Pool Financial Settlement*; and
- (b) the **pool participant** has energy consumption during the applicable **settlement interval**.

**(2)** The **ISO** must, for any **settlement interval** where the energy from a **long lead time asset** was actually required, or was forecasted but not actually required, calculate an **incremental generation costs** pro rata charge for every **pool participant** with energy consumption during the **settlement interval**, as follows:

the net **incremental generation costs** amount paid in accordance with subsection 5 of Section 103.4 of the **ISO rules**, *Power Pool Financial Settlement*, to the **pool participant** issued the **directive** for energy from a **long lead time asset**;

divided by

the total energy consumption of every **pool participant** during the **settlement interval** where the energy from a **long lead time asset** was actually required or was forecasted to be required.

#### Pool Participant Payment Default Charge

**7(1)** The **ISO** may, if the **ISO** does not receive full payment of any outstanding **financial obligation** amount owed by a **pool participant** by the close of business on the 10<sup>th</sup> **business day** following the date the **financial obligation** was due, then after realizing on any **financial security** of the **pool participant**, calculate a payment default charge as follows:

the outstanding **financial obligation** amount, net of any realized **financial security** amounts, of the defaulting **pool participant** for the applicable **settlement period**

divided by

the total amount of energy exchanged through the **power pool** by all **pool participants** during the applicable **settlement period**

**(2)** The **ISO** must allocate the payment default charge to all **pool participants** on a pro rata basis to each MWh of energy that **pool participants** exchanged through the **power pool** during the **settlement period** for which the **ISO** did not receive full **financial obligation** payment from the defaulting **pool participant**, as outlined in subsection 7(1).

**(3)** The **ISO** must include the payment default charge as a payment obligation for the **pool participants** referred to in subsection 7(2) in the next **power pool** statement the **ISO** publishes following the calculation and allocation of the payment default charge under this subsection 7.

**(4)** The **ISO** must apply the payment default charge amounts the **ISO** receives from the **pool participants** to settle any outstanding **financial obligation** amount that remains owing from the defaulting **pool participant**.

**(5)** The **ISO** must credit and refund that recovered amount on a pro rata basis to the **pool participants** who paid the **ISO** as referenced under subsection 7(4), if the **ISO** has received payment default charge amounts from **pool participants** under subsection 7(4) and the **ISO** is successful in recovering any outstanding **financial obligation** amount from the defaulting **pool participant** referred to in subsection 7(1).

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(6) The **ISO** must credit the recovered amount to the applicable **pool participants** as a line item in the next successive final **power pool** statement of those **pool participants** after the date the **ISO** recovers that amount, but the pro rata recovered amount credited and paid to a **pool participant** must not exceed the pro rata amount the **pool participant** originally paid.

(7) The calculation, allocation and payment of payment default charges under this subsection 7 does not release, discharge, limit or otherwise affect any outstanding **financial obligations** of the defaulting **pool participant**, and is in addition to any other legal or equitable remedies available to the **ISO** under Section 103.7 of the **ISO rules**, *Financial Default and Remedies*.

#### Recovery of Load Settlement Costs

8 A load settlement agent must pay as a **financial obligation** to the **ISO**, the amount the **ISO** invoices to recover the **ISO**'s costs for administering provincial load settlement, which amount is based on the load settlement agent's percentage share of the aggregate annual load volumes.

#### ISO Recourse to Section 103.7 *Financial Default and Remedies*

9 The failure of a **pool participant** to pay any fees or charges dollar amount under this Section 103.6 is deemed to be a **financial obligation** default event which will allow the **ISO** to have recourse to the rights and remedies of the **ISO** under Section 103.7 of the **ISO rules**, *Financial Default and Remedies*.

#### Revision History

Date	Description
2021-09-14	Updates to the Applicability section Removed subsection 3 in its entirety Administrative amendments
2018-09-03	Amended subsection 6(3) to allow for the trading charge to be amended throughout the year, if appropriate.
2015-12-07	Updated header of subsection 3 to refer to "pool participation fee".
2013-01-08	"long lead time energy" changed to "energy from a <b>long lead time asset</b> " Updated to refer to subsection 5 of section 103.4 of the <b>ISO rules</b> , <i>Power Pool Financial Settlement</i> .
2011-07-01	Initial Release