

# ISO Rules

## Part 100, General

### Division 103, Administration

#### Section 103.6 ISO Fees and Charges



#### Applicability

- 1 Section 103.6 applies to:
  - (a) a **market participant**; and
  - (b) the **ISO**.

#### Requirements

##### Schedule of ISO Fees

- 2(1) The **ISO** must publish the *Schedule of ISO Fees* on the AESO website.
- (2) The **ISO** must, prior to the end of each calendar year, review the *Schedule of ISO Fees* and publish any applicable changes to any of the fees for the upcoming calendar year.

##### Pool Participant Fee

- 3 The **ISO** must publish the **pool participation fee** in effect for each calendar year in the *Schedule of ISO Fees*.

##### Fee for Records Requests

- 4(1) A **market participant** may make a request in writing to the **ISO** for a copy of any records of the **market participant** which may be in the **ISO's** possession.
- (2) The **ISO** must provide a response to the **market participant** indicating whether the **ISO** can accommodate the **market participant's** request, and an estimate of when the **ISO** will provide the requested records.
- (3) The **market participant** must pay a fee for any request for records made in accordance with subsection 4(1).
- (4) The **ISO** must publish the records request fee in effect for each calendar year in the *Schedule of ISO Fees*.

##### Fee for a Digital Certificate

- 5(1) A **pool participant** must pay an annual fee to obtain a digital certificate that enables the **pool participant** to access the Energy Trading System.
- (2) The **ISO** must publish the digital certificate fee in effect for each calendar year in the *Schedule of ISO Fees*.

##### Energy Market Trading Charge Payment

- 6(1) Notwithstanding subsection 2(2), in January of each calendar year the **ISO** must determine and calculate an energy market trading charge, as follows:

the energy market related cost and expenses for the **ISO** and the **Commission**, and the costs and expenses of the **Market Surveillance Administrator**;

# ISO Rules

## Part 100, General

### Division 103, Administration

#### Section 103.6 ISO Fees and Charges



divided by

the total estimated number of MWhs of energy forecast to be traded in the **power pool** in the calendar year.

(2) The **ISO** must publish the energy market trading charge in effect for that calendar year in the *Schedule of ISO Fees*.

(3) A **pool participant** must pay the **ISO** the energy market trading charge for each MWh of energy the **pool participant** purchases or sells through the **power pool** in a **settlement period**.

(4) The energy market trading charge dollar amount each **pool participant** must pay is equal to:

the energy market trading charge calculated under subsection 6(1);

multiplied by

the total MWh of energy for the hour for each **pool asset** of the **pool participant**, which is the greater of:

- (a) the **metered energy** the **pool participant** exchanged through the **power pool** for a **settlement period**; and
- (b) the volumes of any registered **net settlement instructions** of the **pool participant**.

#### Charge For Energy from a Long Lead Time Asset Net Costs

7(1) If the **ISO** must pay a **pool participant** for energy from a **long lead time asset** in accordance with subsection 5 of section 103.4 of the **ISO rules**, *Power Pool Financial Settlement*, then **pool participants** with energy consumption during the applicable **settlement interval** must pay to the **ISO** a pro rata charge to recover any **incremental generation costs**, net of energy receipts revenue as referred to in that subsection 5.

(2) For any **settlement interval** where the **long lead time energy** was actually required, or was forecasted but not actually required, the **ISO** must calculate an **incremental generation costs** pro rata charge for every **pool participant** with energy consumption during the **settlement interval**, as follows:

the net **incremental generation costs** amount paid in accordance with subsection 5 of section 103.4 of the **ISO rules**, *Power Pool Financial Settlement*, to the **pool participant** issued the **directive** for energy from a **long lead time asset**;

divided by

the total energy consumption of every **pool participant** during the **settlement interval** where the **long lead time energy** was actually required, or was forecasted to be required.

#### Pool Participant Payment Default Charge

8(1) If the **ISO** does not receive full payment of any outstanding **financial obligation** amount owed by a **pool participant** by the close of business on the tenth (10<sup>th</sup>) **business day** following the date the **financial obligation** was due, then after realizing on any **financial security** of the **pool participant** the **ISO** may calculate a payment default charge and allocate the charge to **pool participants** in accordance with this subsection 8.

(2) The **ISO** must calculate the payment default charge as follows:

ISO Rules:  
Effective: 2013-01-08

# ISO Rules

## Part 100, General

### Division 103, Administration

#### Section 103.6 ISO Fees and Charges



the outstanding **financial obligation** amount, net of any realized **financial security** amounts, of the defaulting **pool participant** for the applicable **settlement period**;

divided by

the total amount of energy exchanged through the **power pool** by all **pool participants** during the applicable **settlement period**.

(3) The **ISO** must allocate the payment default charge on a pro rata basis to each MWh of energy that **pool participants** exchanged through the **power pool** during the **settlement period** for which the **ISO** did not receive full **financial obligation** payment from the defaulting **pool participant**, as outlined in subsection 8(1).

(4) The payment default charge must appear as a payment obligation for the **pool participants** referred to in subsection 8(3) in the next **power pool** statement published by the **ISO** following the calculation and allocation of the payment default charge under this subsection 8.

(5) The **ISO** must apply the payment default charge amounts the **ISO** receives from the **pool participants** to settle any outstanding **financial obligation** amount that remains owing from the defaulting **pool participant**.

(6) If the **ISO** has received payment default charge amounts from **pool participants** under subsection 8(5) and the **ISO** is successful in recovering any outstanding **financial obligation** amount from the defaulting **pool participant** referred to in subsection 8(1), then the **ISO** must credit and refund that recovered amount on a pro rata basis to the **pool participants** who paid the **ISO** as referenced under subsection 8(5).

(7) The **ISO** must credit the recovered amount to the applicable **pool participants** as a line item in the next successive final **power pool** statement of those **pool participants** after the date the **ISO** recovers that amount, but the pro rata recovered amount credited and paid to a **pool participant** must not exceed the pro rata amount the **pool participant** originally paid.

(8) The calculation, allocation and payment of payment default charges under this subsection 8 does not release, discharge, limit or otherwise affect any outstanding **financial obligations** of the defaulting **pool participant**, and is in addition to any other legal or equitable remedies available to the **ISO** under section 103.7 of the **ISO rules**, *Financial Default and Remedies*.

#### Recovery of Load Settlement Costs

9 A **load settlement agent** must pay as a **financial obligation** to the **ISO**, the amount the **ISO** invoices to recover the **ISO's** costs for administering provincial **load settlement**, which amount is based on the **load settlement agent's** percentage share of the aggregate annual **load** volumes.

#### ISO Recourse to Section 103.7 *Financial Default and Remedies*

10 The failure of a **pool participant** to pay any fees or charges dollar amount under this section 103.6 is deemed to be a **financial obligation** default event which will allow the **ISO** to have recourse to the rights and remedies of the **ISO** under section 103.7 of the **ISO rules**, *Financial Default and Remedies*.

#### Revision History

Effective	Description
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# ISO Rules

## Part 100, General

### Division 103, Administration

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2011-07-01	Initial Release
2013-01-08	"long lead time energy" changed to "energy from a <b>long lead time asset</b> " Updated to refer to subsection 5 of section 103.4 of the <b>ISO</b> rules, <i>Power Pool Financial Settlement</i> .